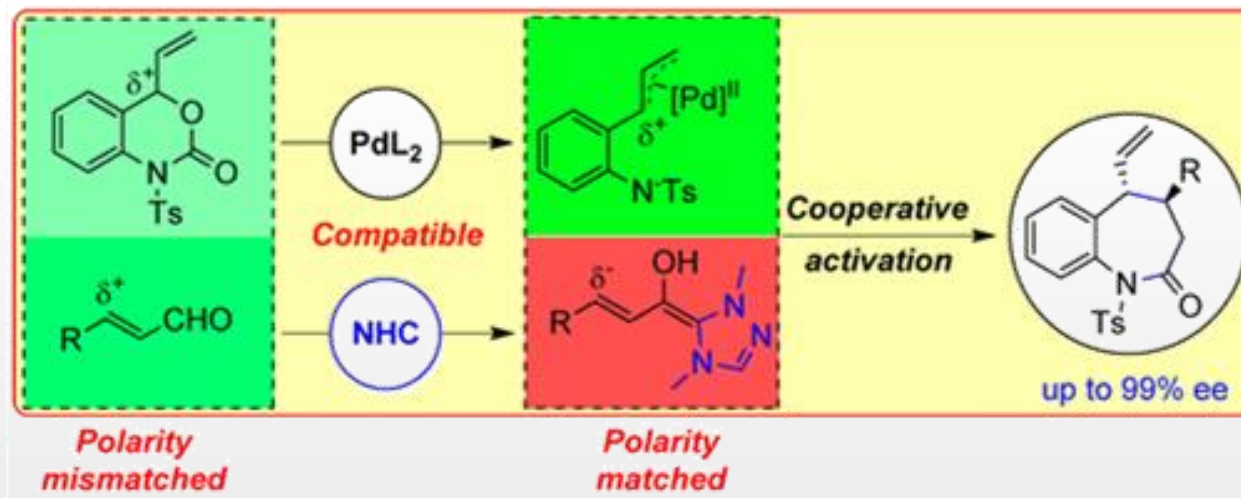


Cooperative NHC/Pd-Catalyzed Enantioselective Umpolung Annulations

C. Guo, M. Fleige, D. Janssen-Müller, C. G. Daniliuc, F. Glorius,
J. Am. Chem. Soc., **2016**, ASAP, DOI : 10.1021/jacs.6b04364



Dual catalysis emergence

Series of new enantioselective transformations by:

Phase-transfer catalyst

Chiral amine

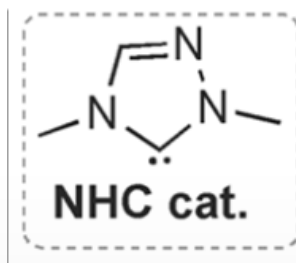
Phosphoric acid catalyst

scandium 21 Sc 44.956	titanium 22 Ti 47.867	vanadium 23 V 50.942	chromium 24 Cr 51.996	manganese 25 Mn 54.938	iron 26 Fe 55.845	cobalt 27 Co 58.933	nickel 28 Ni 58.693	copper 29 Cu 63.546	zinc 30 Zn 65.39
yttrium 39 Y 88.906	zirconium 40 Zr 91.224	niobium 41 Nb 92.906	molybdenum 42 Mo 95.94	technetium 43 Tc [98]	ruthenium 44 Ru 101.07	rhodium 45 Rh 102.91	palladium 46 Pd 106.42	silver 47 Ag 107.87	cadmium 48 Cd 112.41
lutetium 71 Lu 174.97	hafnium 72 Hf 178.49	tantalum 73 Ta 180.95	tungsten 74 W 183.84	rhenium 75 Re 186.21	osmium 76 Os 190.23	iridium 77 Ir 192.22	platinum 78 Pt 195.08	gold 79 Au 196.97	mercury 80 Hg 200.59

Dual catalysis emergence

NHCs are recognized to be: _ a very powerfull organocatalyst for accessing to umpolung reactivity

_ a good ligand for transition metal



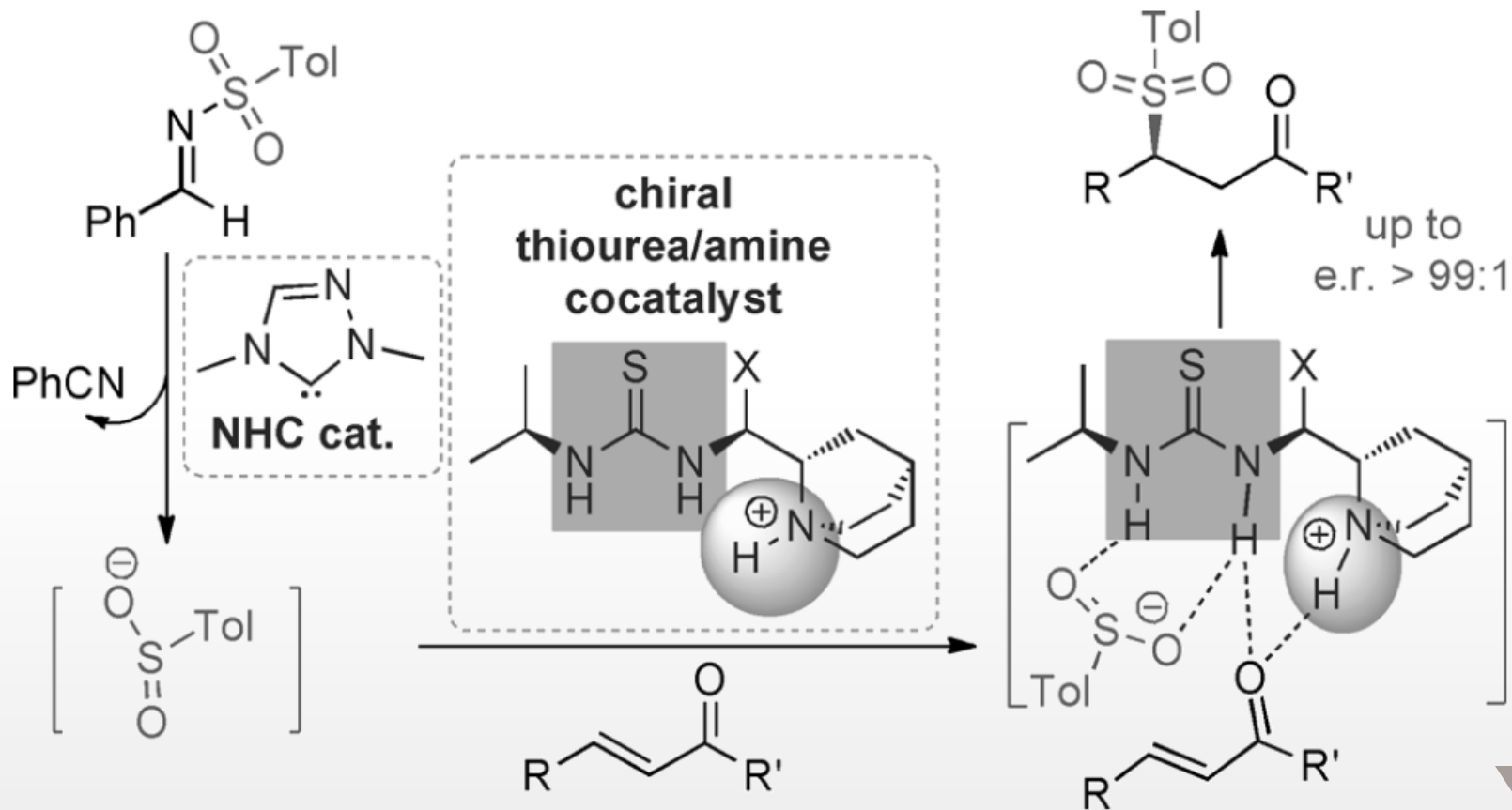
Major challenge: *cooperative transition metal/NHC organocatalysis*



Background

Cooperative NHC/Thiourea/Tertiary amine multicatalysis

Angew. Chem. Int. Ed., **2013**, 52, 12354

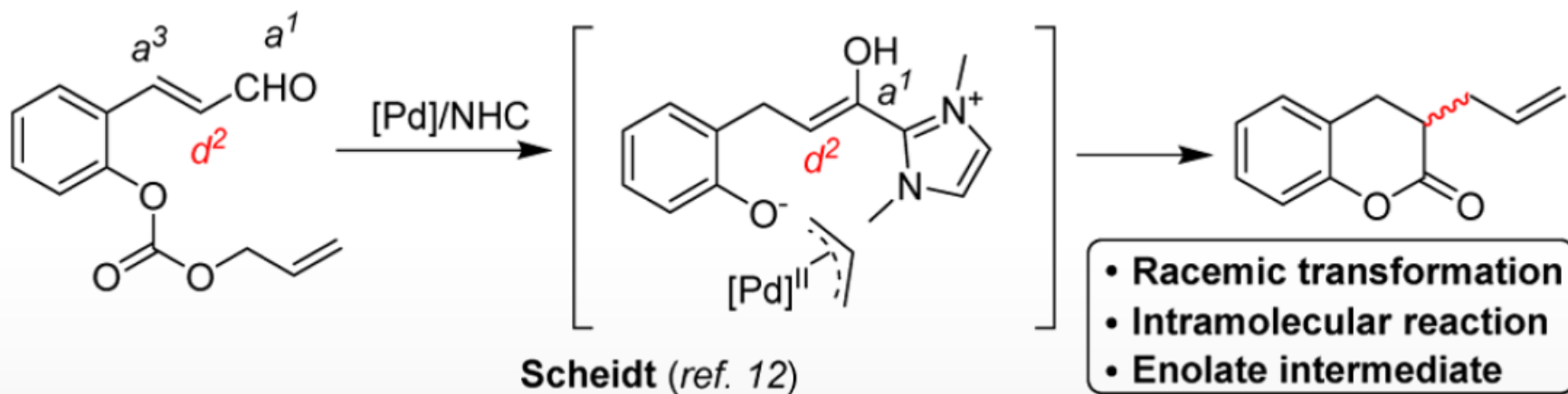


Background

Cooperative NHC/Pd dual catalysis

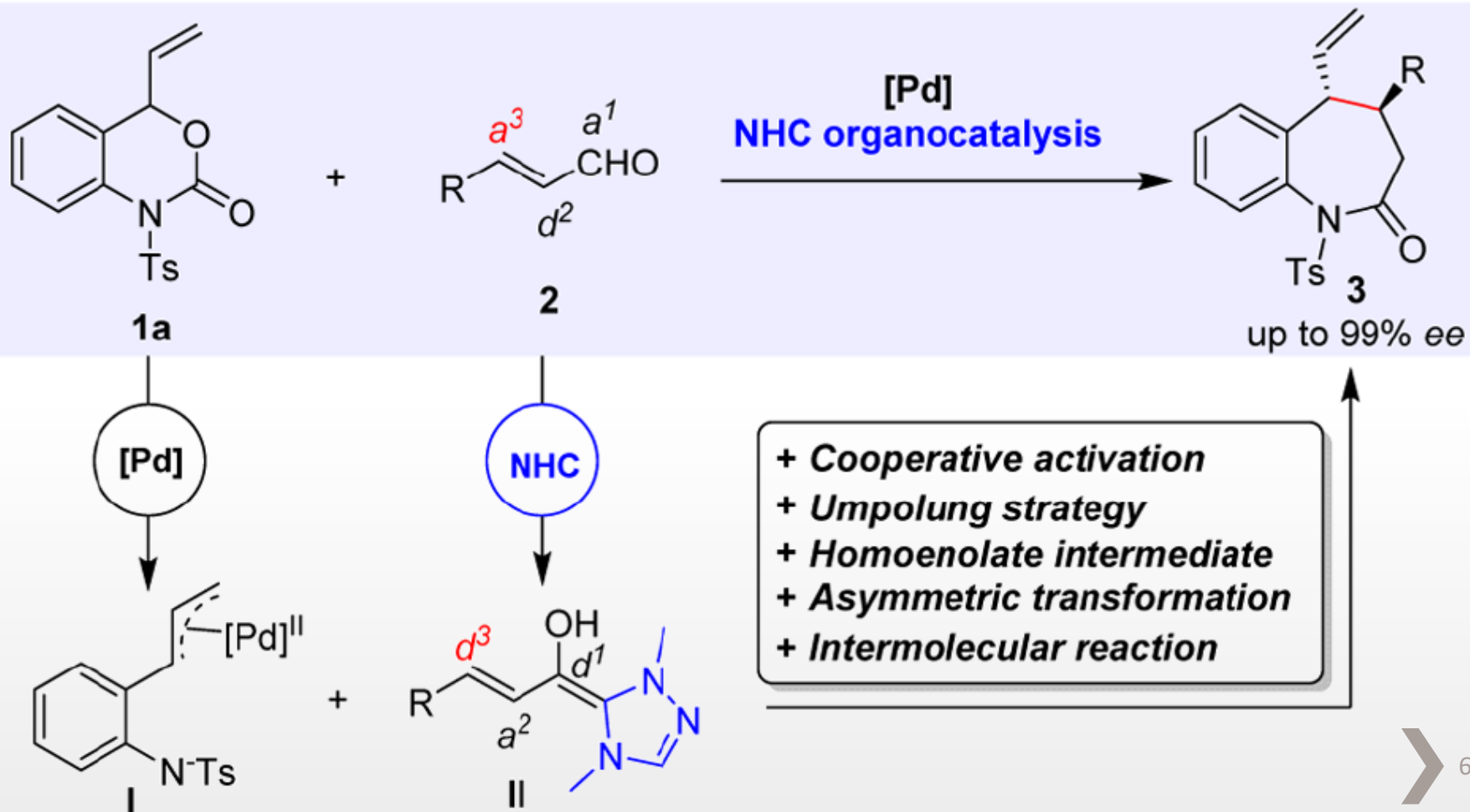
Chem. Sci., **2014**, 5, 4026

A Pioneering Example: NHC/transition metal cooperative catalysis

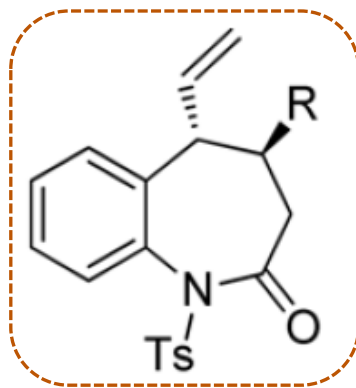


This work

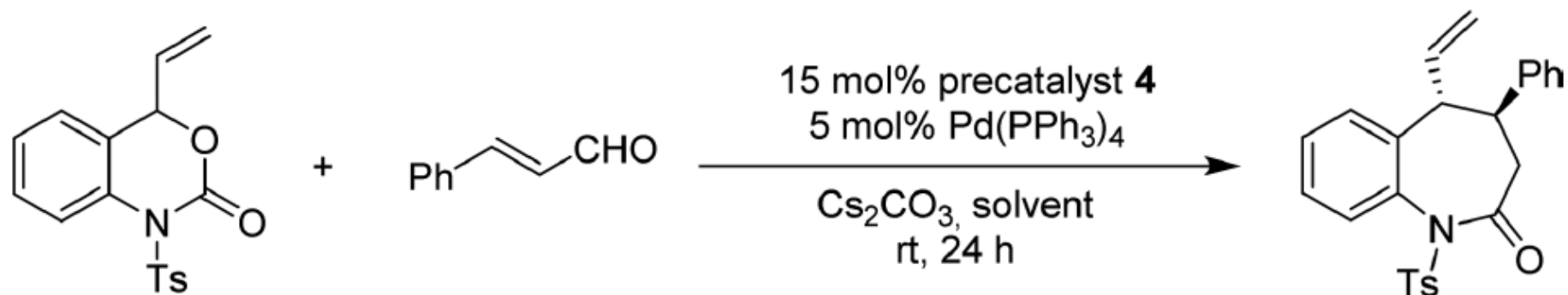
B Cooperative enantioselective *umpolung* annulation



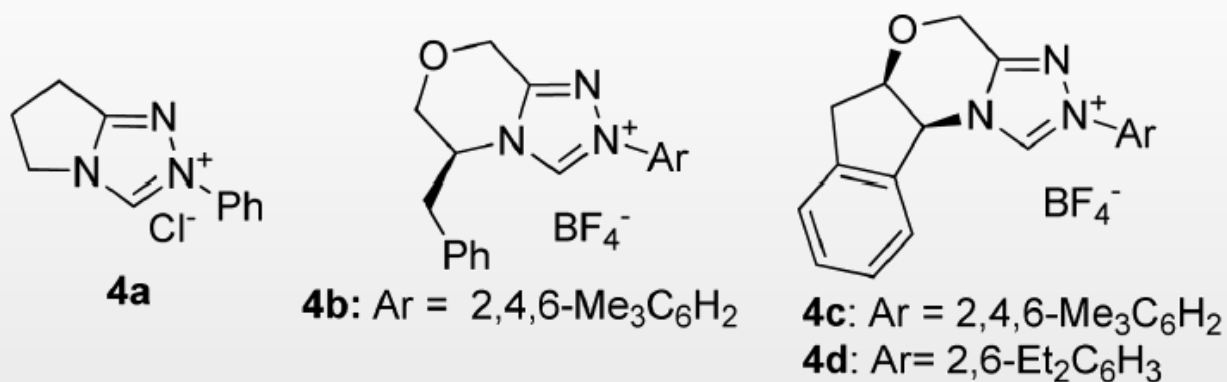
Benzazepines moiety



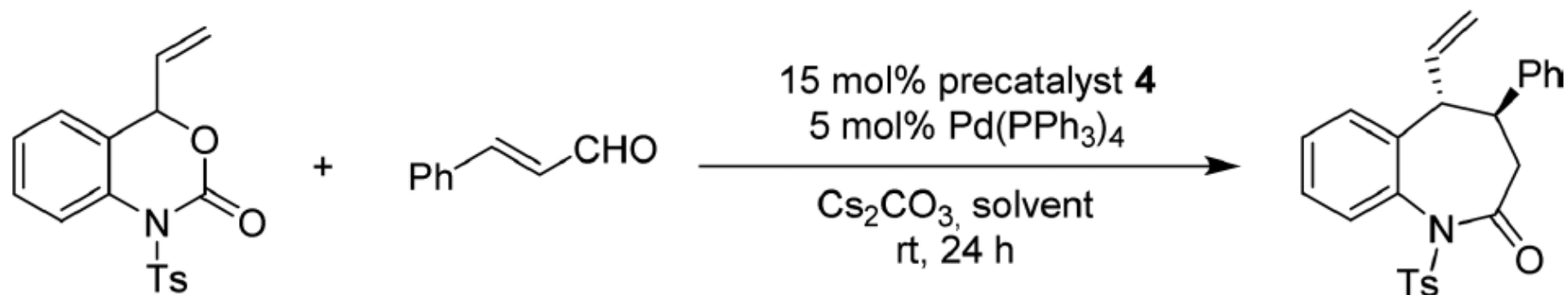
Optimization



entry	precat.	solvent	yield (%) ^b	dr of 3aa ^c	ee (%) ^d
1	4a	THF	nr	—	—
2	4b	THF	38	5:1	63
3	4c	THF	86	12:1	99
4	4d	THF	58	14:1	98



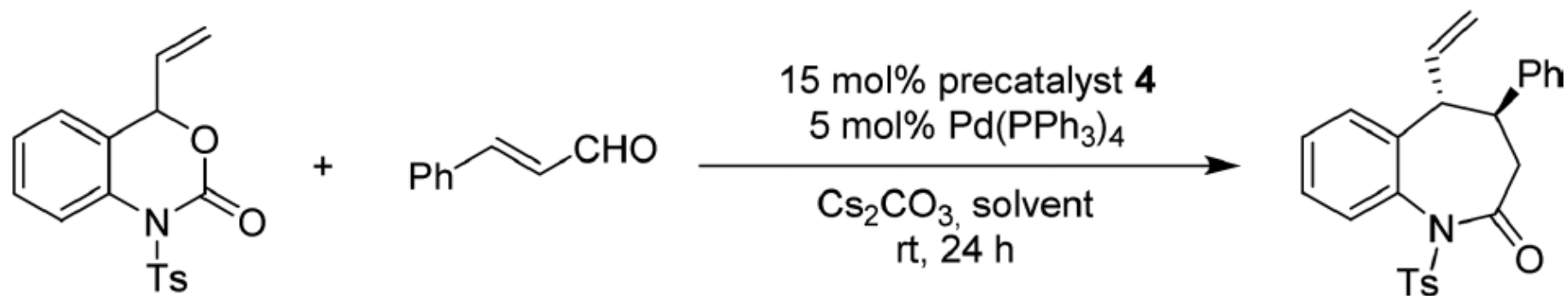
Optimization



entry	precat.	solvent	yield (%) ^b	dr of 3aa ^c	ee (%) ^d
1	4a	THF	nr	—	—
2	4b	THF	38	5:1	63
3	4c	THF	86	12:1	99
4	4d	THF	58	14:1	98



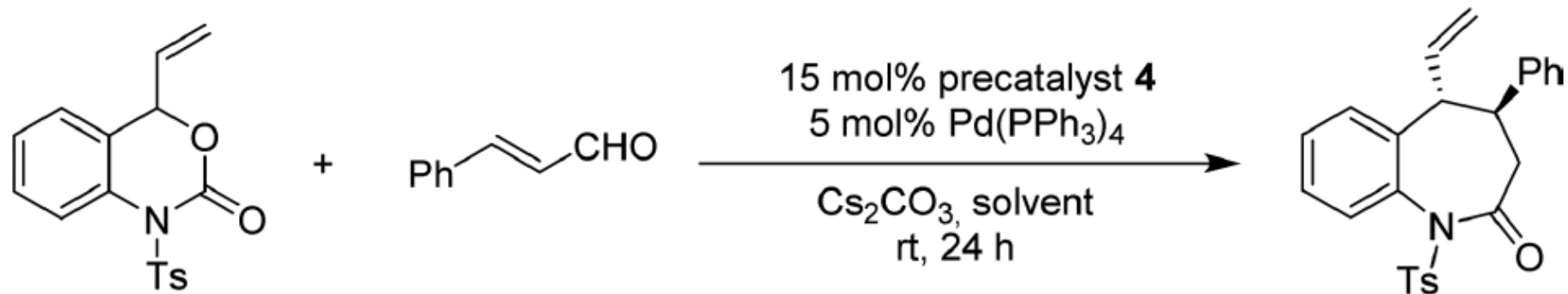
Optimization



entry	precat.	solvent	yield (%) ^b	dr of 3aa ^c	ee (%) ^d
1	4a	THF	nr	—	—
2	4b	THF	38	5:1	63
3	4c	THF	86	12:1	99
4	4d	THF	58	14:1	98
10	—	THF	nr	—	—
11 ^e	4c	THF	nr	—	—
12 ^f	4c	THF	nr	—	—



Optimization

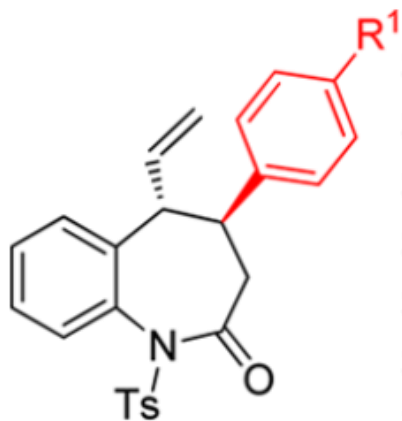


entry	precat.	solvent	yield (%) ^b	dr of 3aa ^c	ee (%) ^d
1	4a	THF	nr	—	—
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10	—	THF	nr	—	—
11 ^e	4c	THF	nr	—	—
12 ^f	4c	THF	nr	—	—

Without Pd(PPh₃)₄ (rows 3, 4, 10, 11, 12)
 Without Cs₂CO₃ (rows 10, 11, 12)



Scope of the enal (*selected examples*)



3aa R¹ = H, 86% yield, 12:1 *dr*, 99% *ee*

3ab R¹ = Me, 60% yield, 10:1 *dr*, 97% *ee*

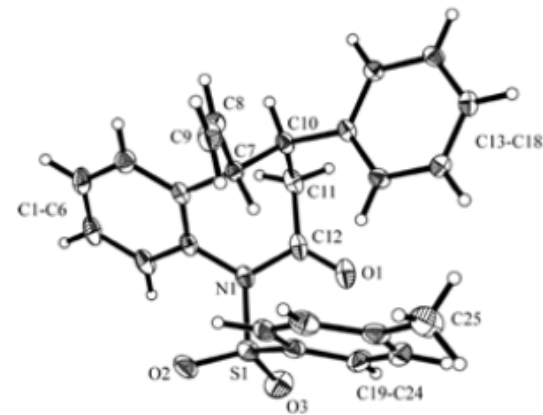
3ac R¹ = OMe, 82% yield, 20:1 *dr*, 98% *ee*

3ad R¹ = NMe₂, 93% yield, 20:1 *dr*, 99% *ee*

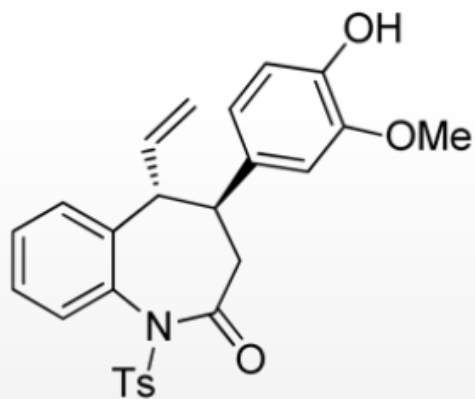
3ae R¹ = Cl, 64% yield, 12:1 *dr*, 97% *ee*

3af R¹ = F, 77% yield, 20:1 *dr*, 98% *ee*

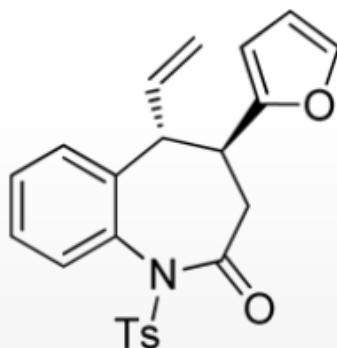
3ag R¹ = NO₂, 98% yield, 20:1 *dr*, 91% *ee*



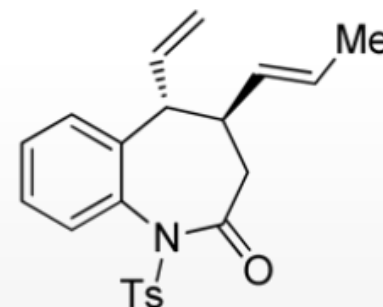
X-ray structure of 3aa



3aj 69% yield
15:1 *dr*, 98% *ee*



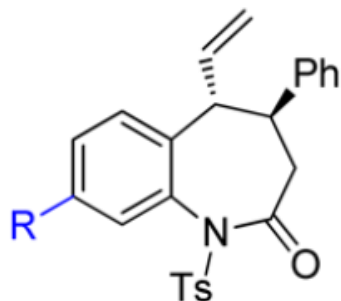
3ak 80% yield
10:1 *dr*, 98% *ee*



3al 79% yield
6:1 *dr*, 92% *ee*^b



Scope of the benzoxazinanone (*selected examples*)



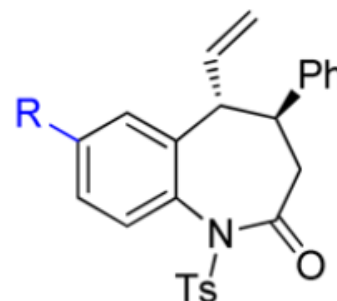
3ba R = Me, 88% yield, 14:1 *dr*, 99% *ee*

3ca R = Cl, 61% yield, 12:1 *dr*, 92% *ee*

3da R = F, 61% yield, 9:1 *dr*, 93% *ee*

3ea R = Br, 55% yield, 10:1 *dr*, 93% *ee*

3fa R = CF₃, 44% yield, 8:1 *dr*, 90% *ee*^b



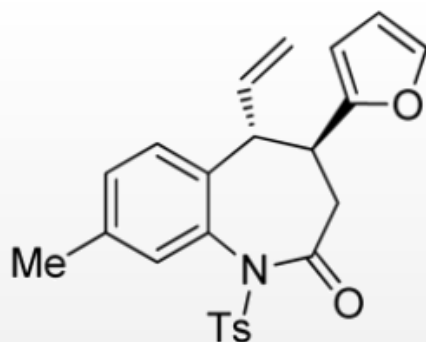
3ga R = Me, 80% yield, 20:1 *dr*, 99% *ee*

3ha R = Cl, 68% yield, 14:1 *dr*, 97% *ee*

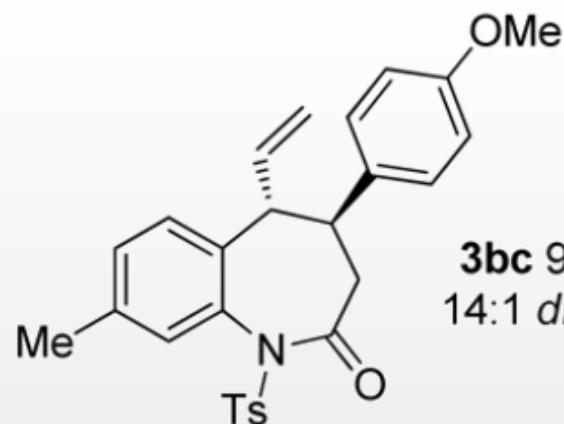
3ia R = F, 77% yield, 14:1 *dr*, 98% *ee*

3ja R = Br, 73% yield, 13:1 *dr*, 98% *ee*

3ka R = OMe, 87% yield, 9:1 *dr*, 99% *ee*

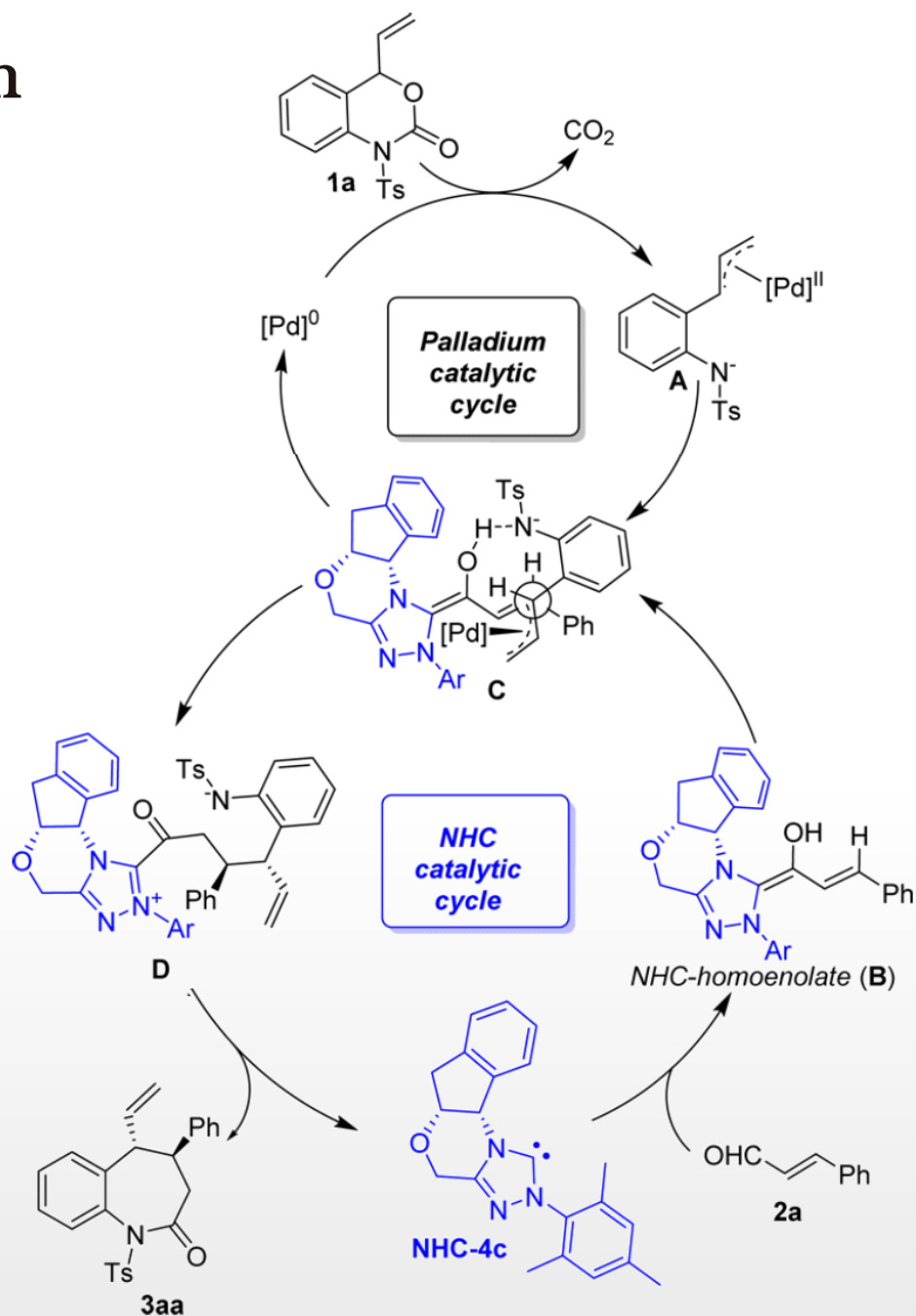


3bk 90% yield
12:1 *dr*, 99% *ee*

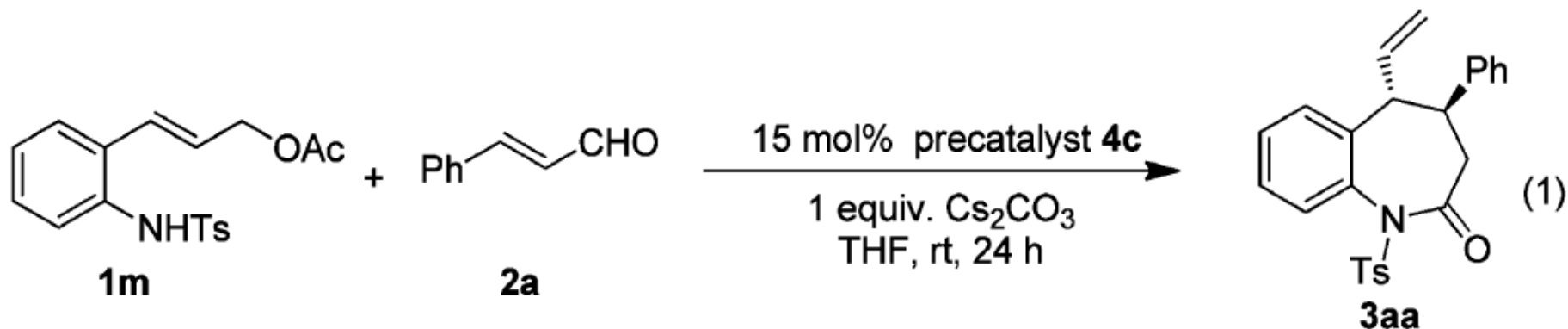


3bc 94% yield
14:1 *dr*, 99% *ee*

Mechanism



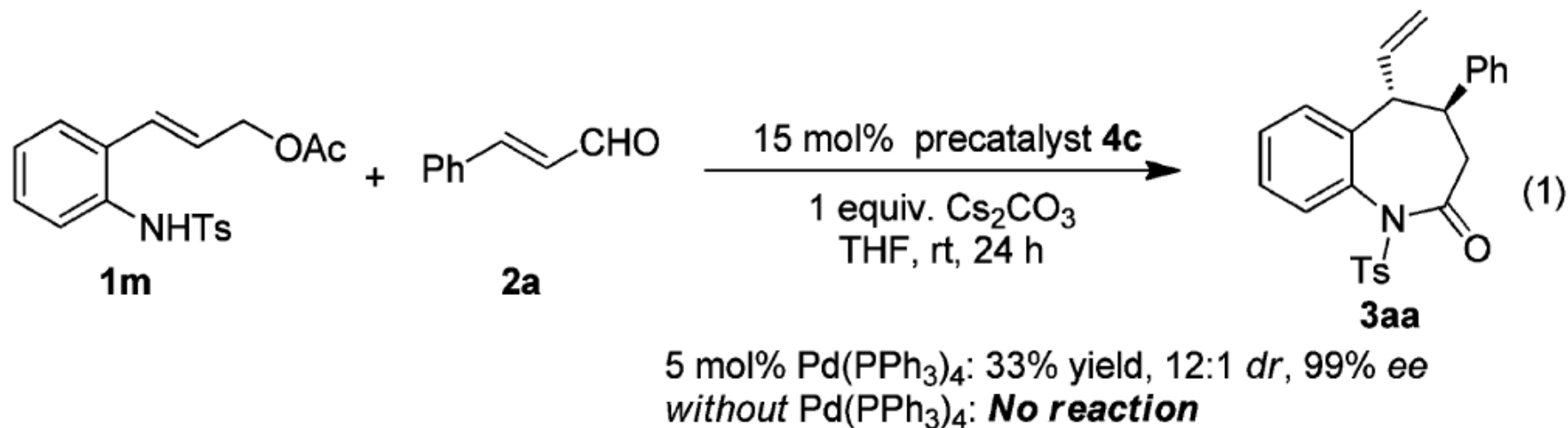
Control experiments



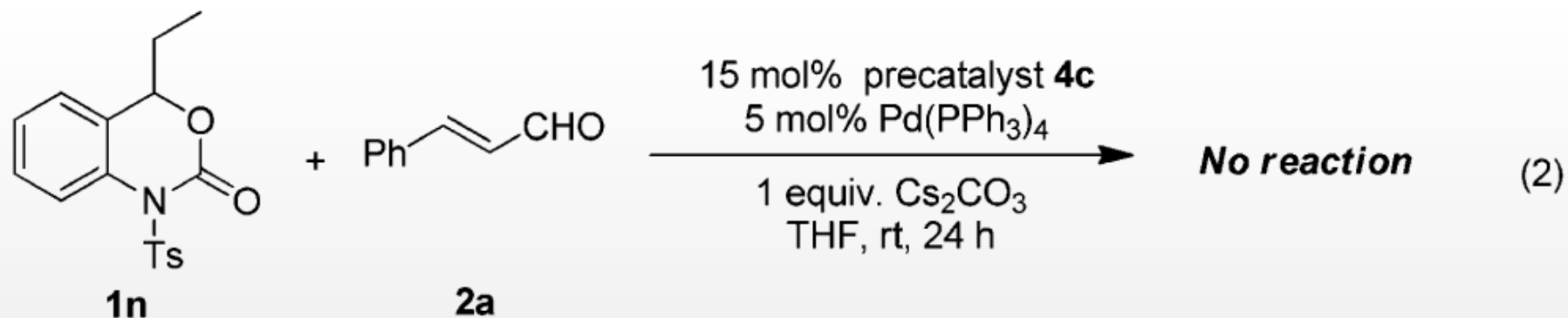
5 mol% Pd(PPh₃)₄: 33% yield, 12:1 *dr*, 99% *ee*
without Pd(PPh₃)₄: **No reaction**

➤ **Cooperative activation mode in the annulation is operative**

Control experiments



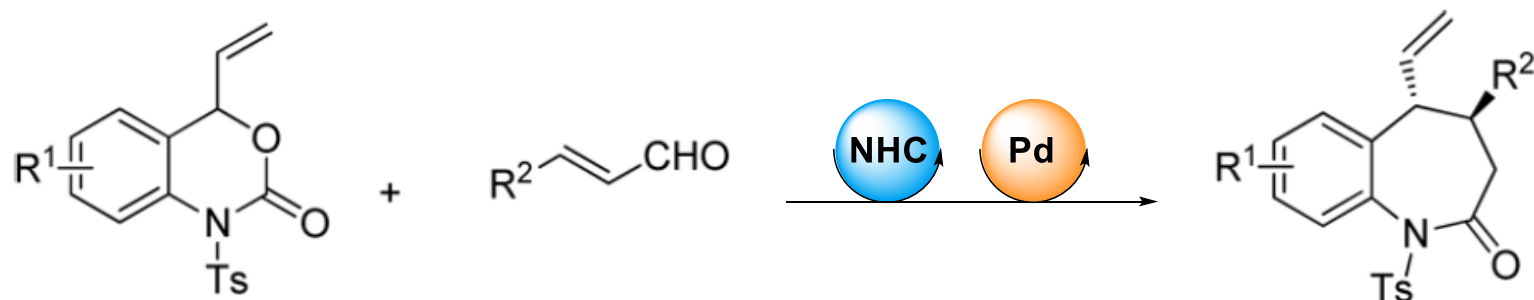
➤ Cooperative activation mode in the annulation is operative



➤ Benzoxazinone unable to form π -allyl palladium species

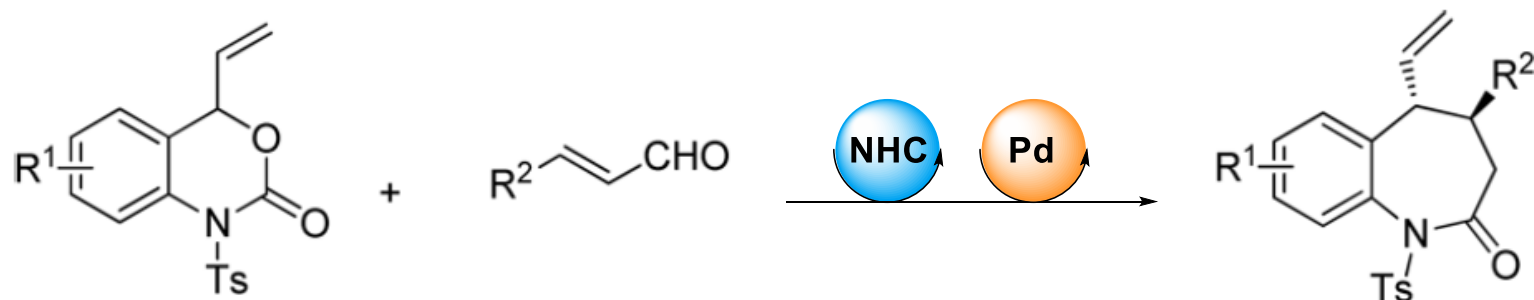


Conclusion



- **Combination of transition metal/NHC catalysis in cooperative process**
- **Asymmetric induction by chiral NHC organocatalyst**
- **General method, high enantioselectivities**

Conclusion



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Thank you for your attention