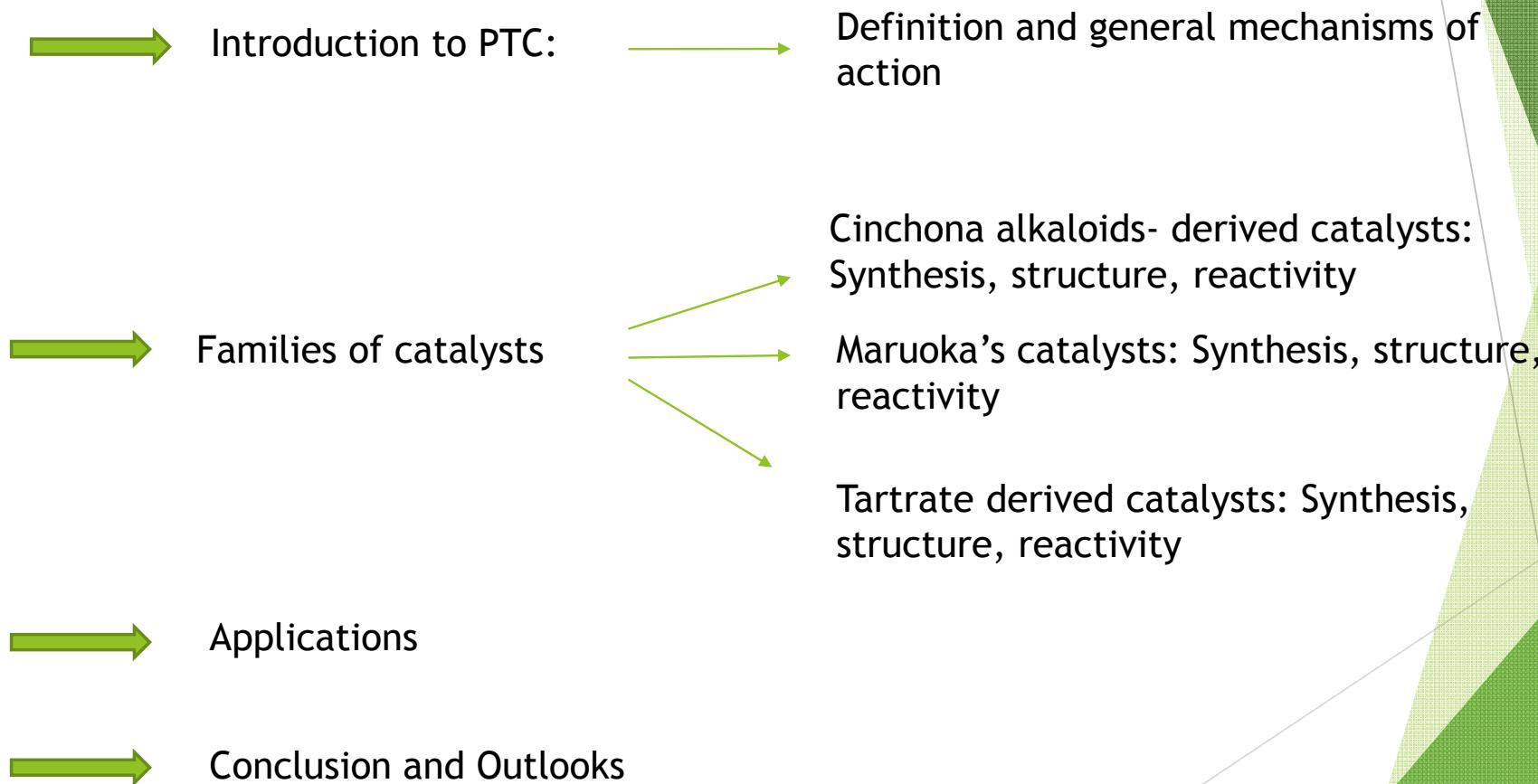


# Phase Transfer Catalysis

Bibliography of 30/04/2015

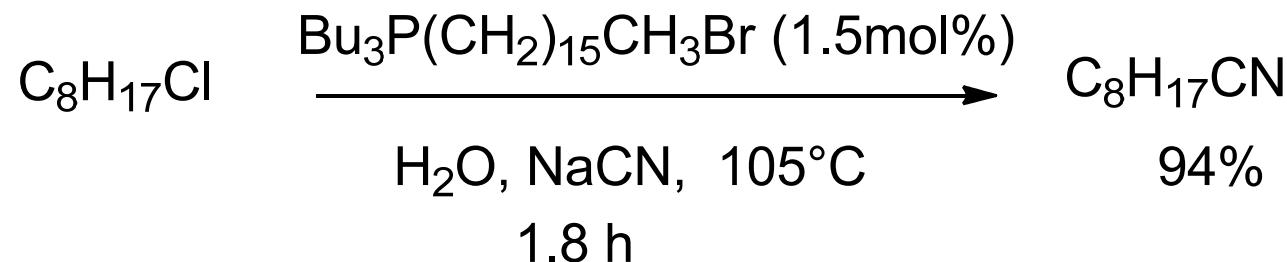
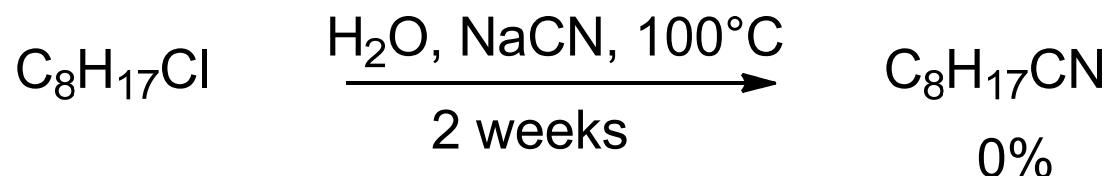
Cecilia Sasso D'Elia

# Summary:



## What is PTC?

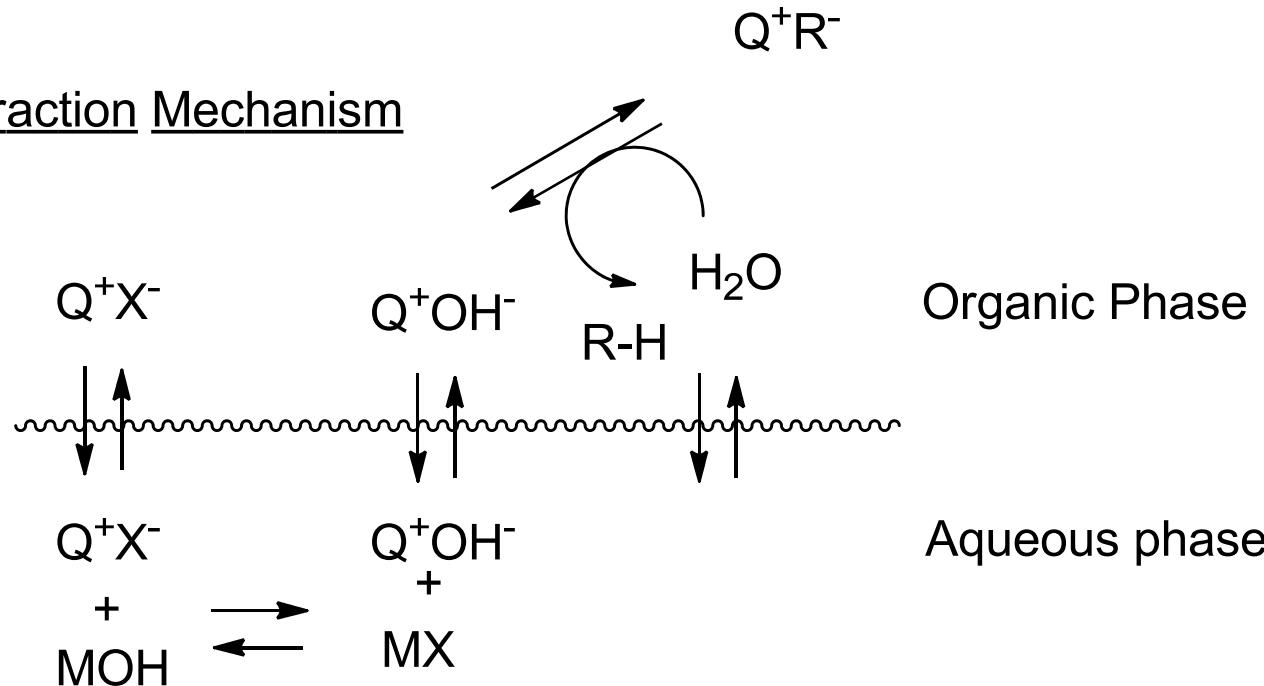
The term «phase transfer catalysis» has been used to underline the central role of tetraalkylammonium or phosphonium salts in the reaction between two substances located in different immiscible phases



Starks, C.M., *J. Am. Chem. Soc.* 1971, 93, 195

## How does it work?

### Extraction Mechanism

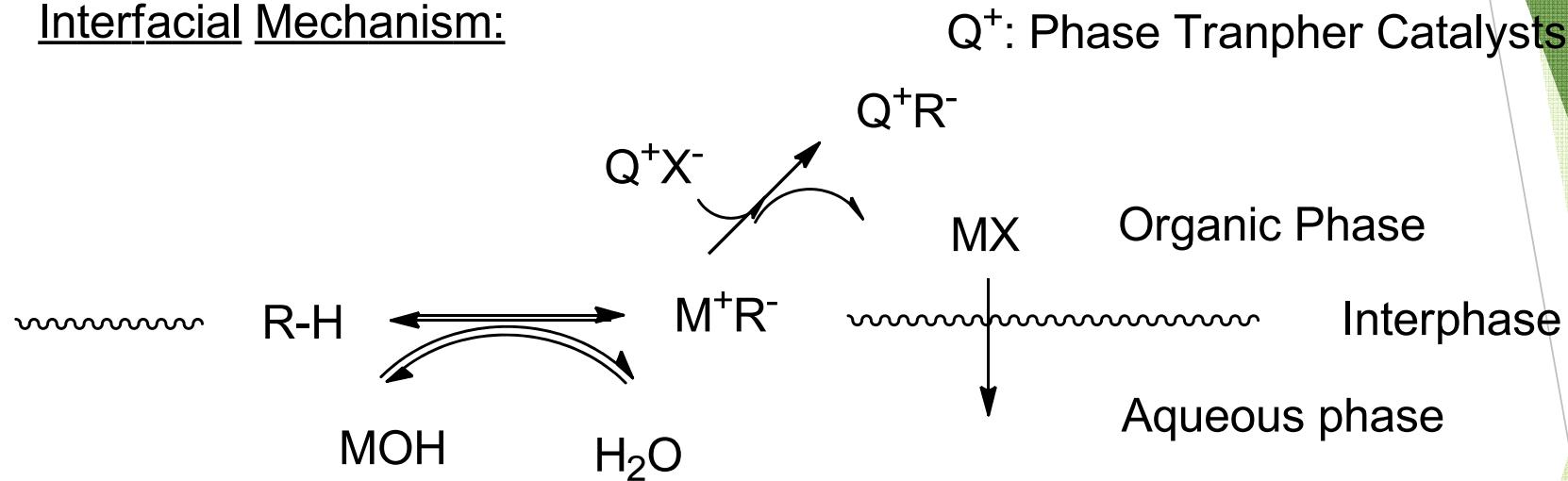


$M = Na, K..$

Dalko, P. I. Comprehensive Enantioselective Organocatalysis; Wiley-VCH, 2007

## How does it work?

Interfacial Mechanism:



M: Na, K..

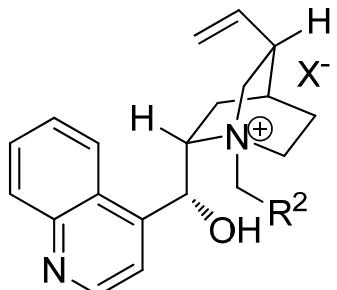
Dalko, P. I. Comprehensive Enantioselective Organocatalysis; Wiley-VCH, 2007

## Families of catalysts:

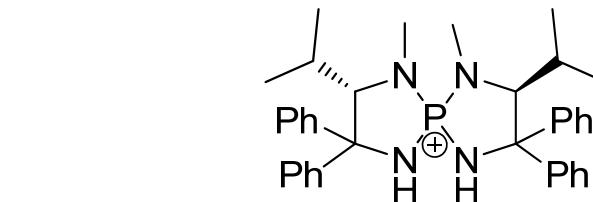
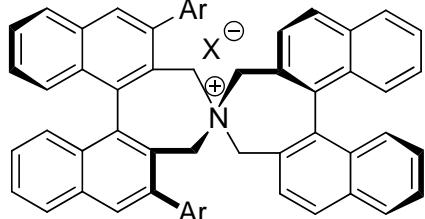
Ammonium catalysts

Phosphonium catalysts

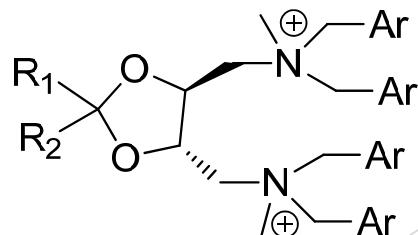
Cinchona alkaloids-derived catalysts



Maruoka's Catalysts



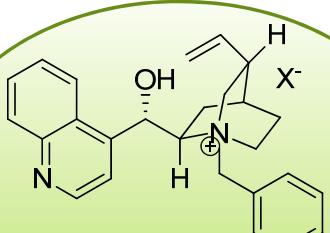
Tartrate- derived catalysts



S. Shirakawa, K. Maruoka, *Angew. Chem. Int. Ed.* 2013, 52, 4312

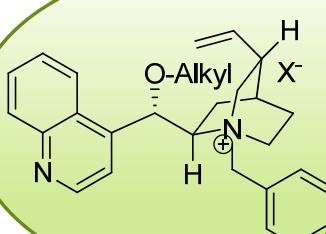
# Cinchona alkaloids-derived catalysts:

First generation catalysts:



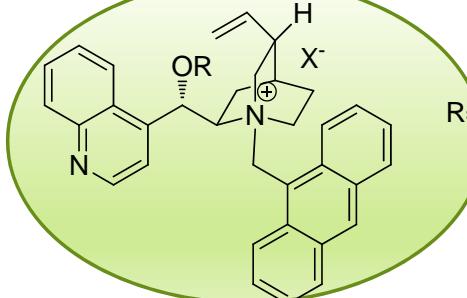
Starks 1984

Second generation catalysts:



O'Donnell 1994

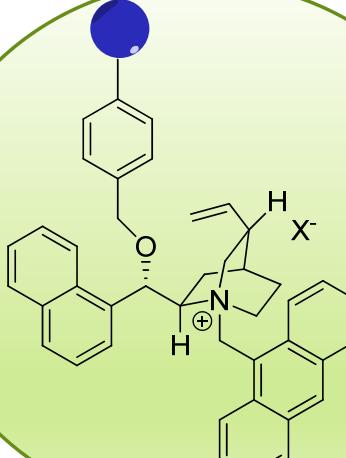
Third generation catalysts:



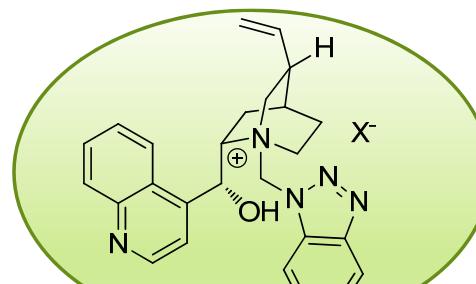
R= H, Methyl, Allyl

Lygo / Corey 1997

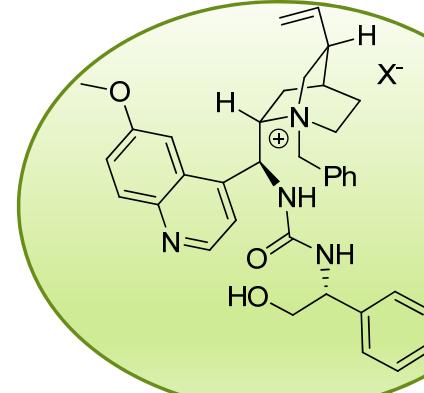
N+1 generation catalysts



Najera 1999

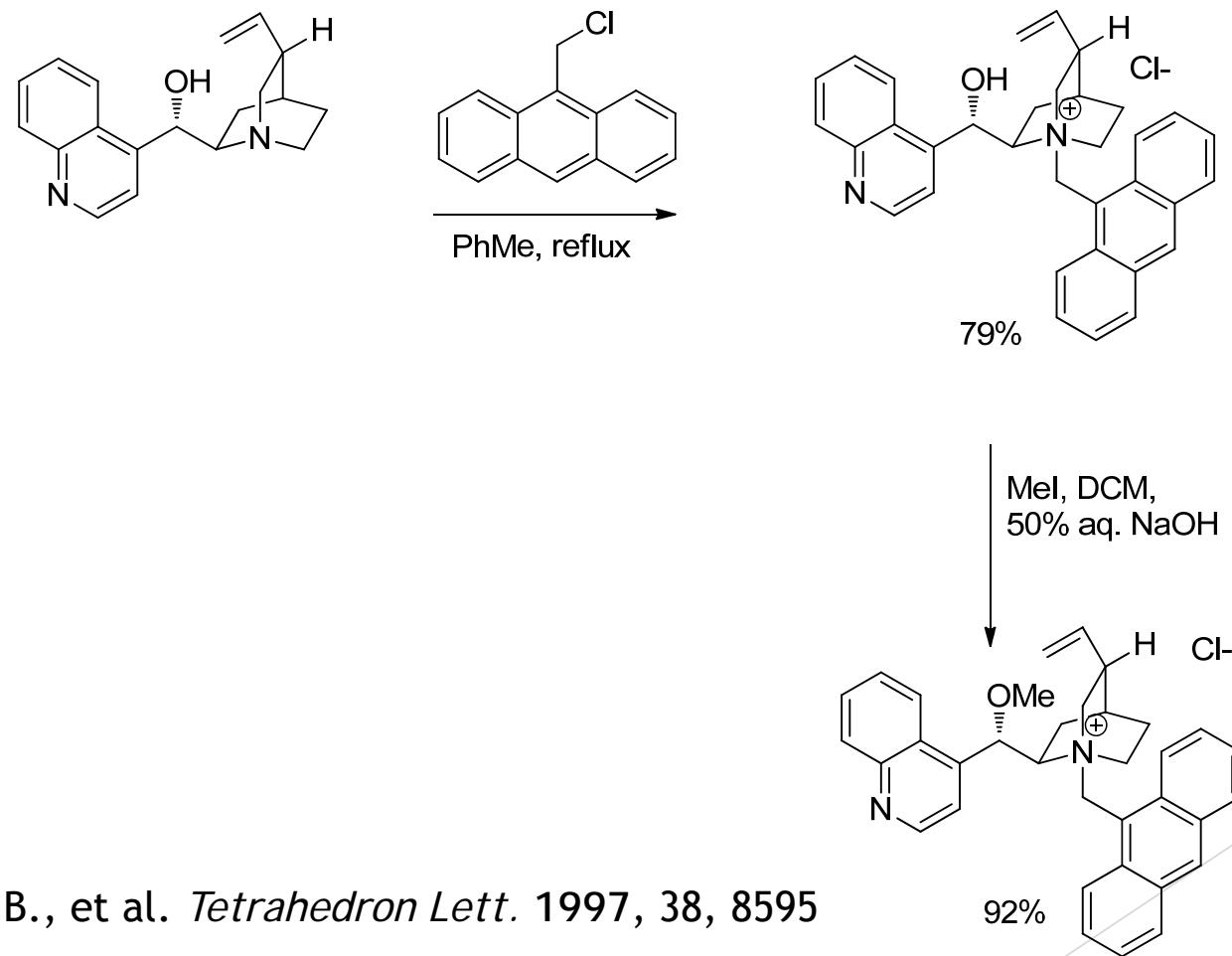


Zang 2009

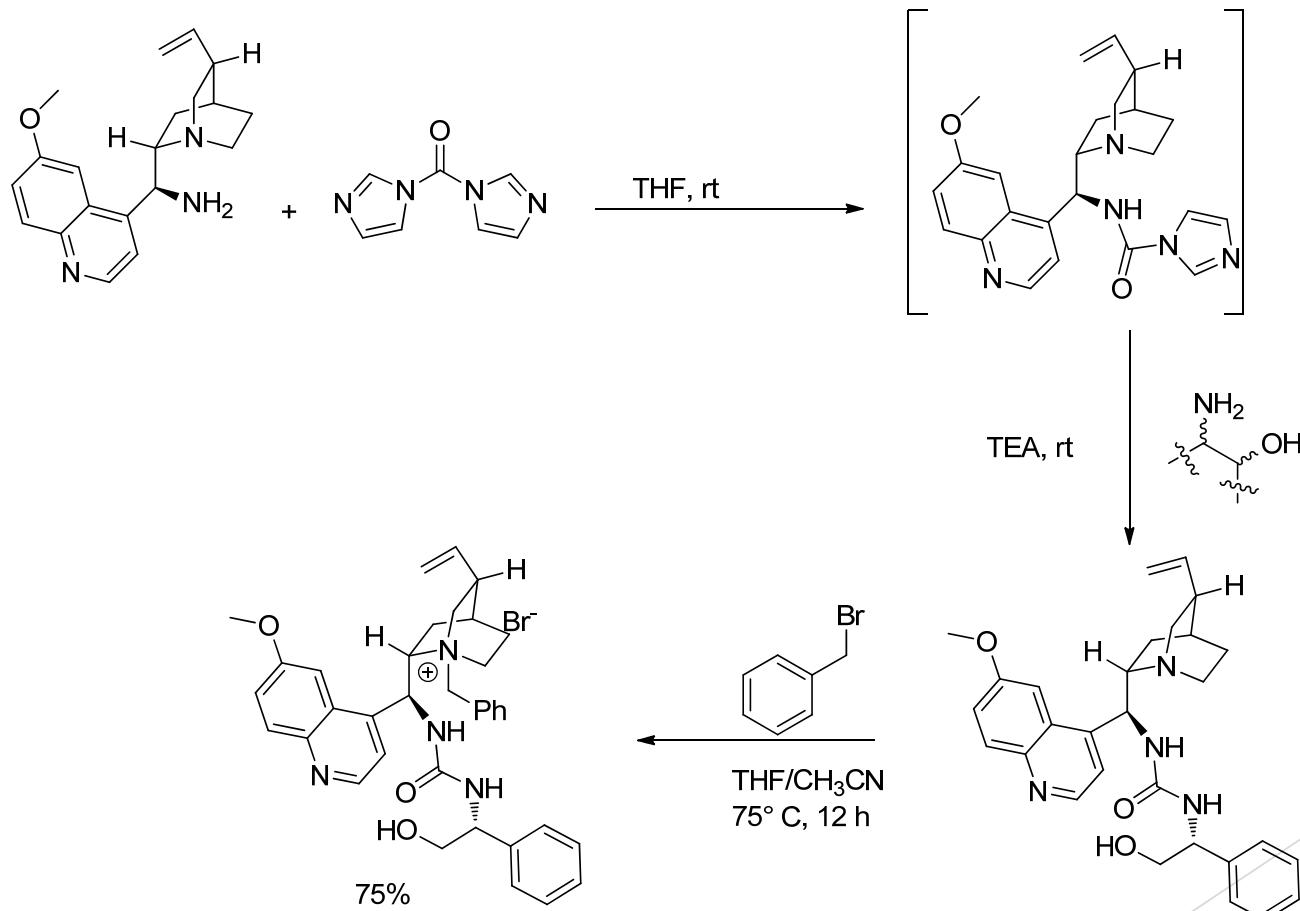


Duan 2014

# Synthesis of Cinchona alkaloids-derived catalysts:



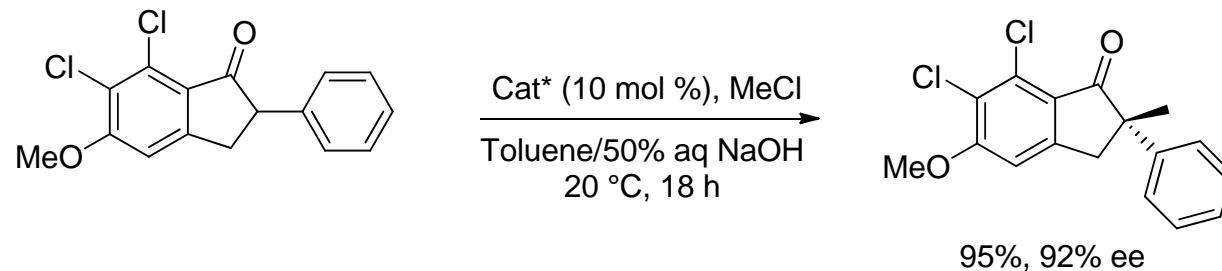
# Synthesis of Cinchona alkaloid-derived catalysts:



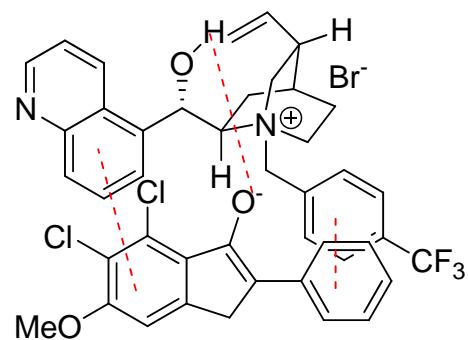
Duan H. et al., *Org. Lett.* 2014, 16, 6432

# Applications: I Example of PTC

## I asymmetric application of PTC



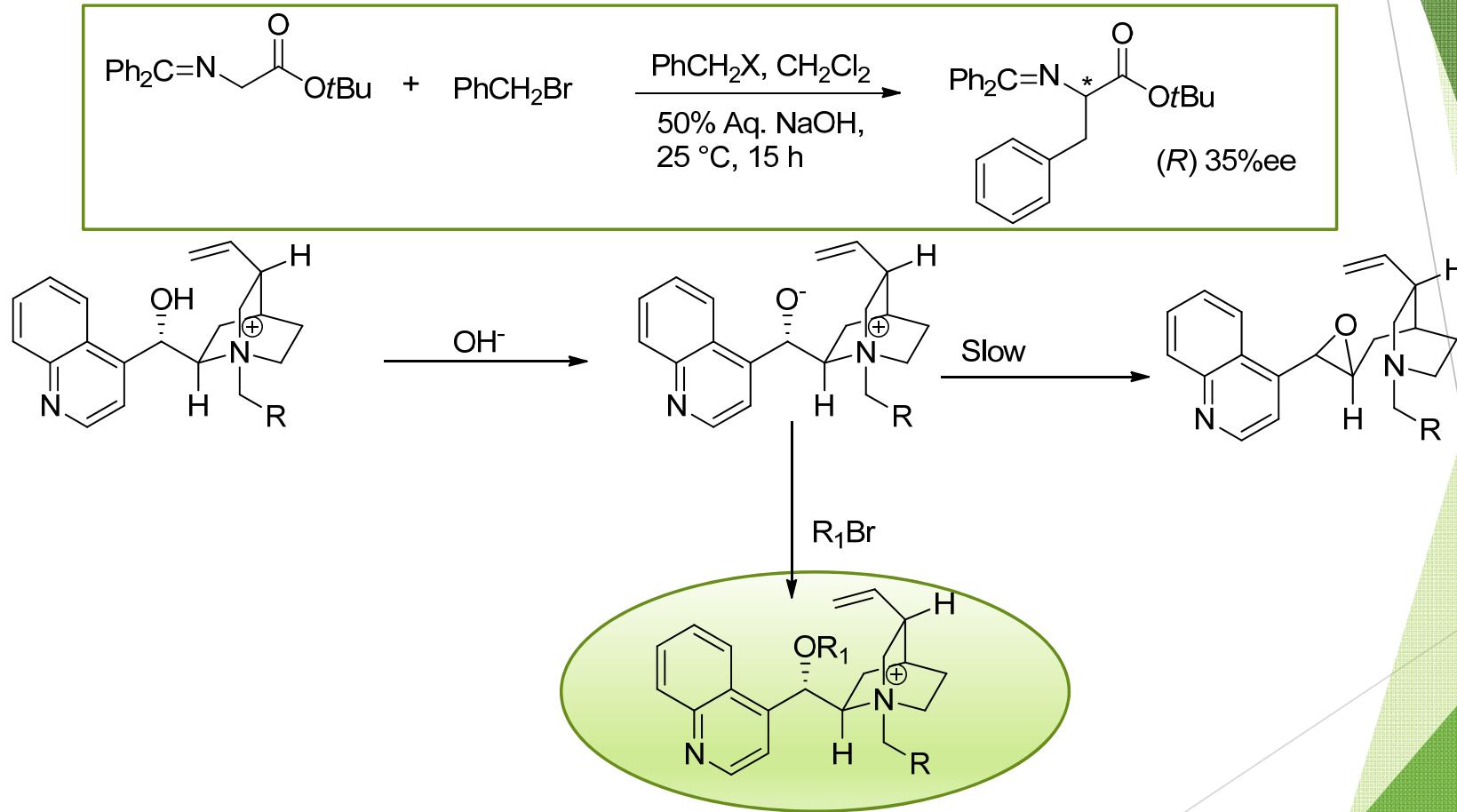
## Transition state model



Ulf-H. Dolling, P. Davis, E. J.J Gabrowski., *J. Am. Chem. Soc.* 1984, 106, 446

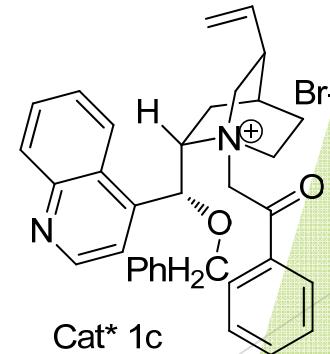
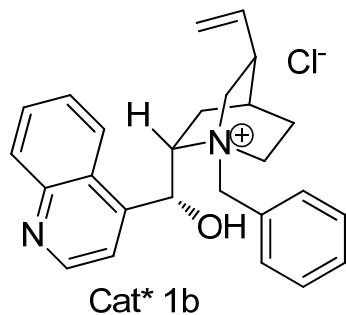
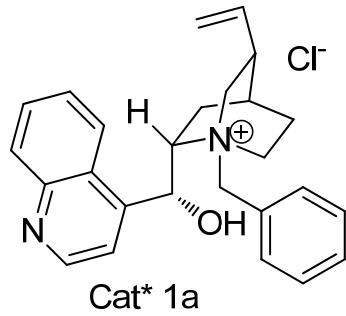
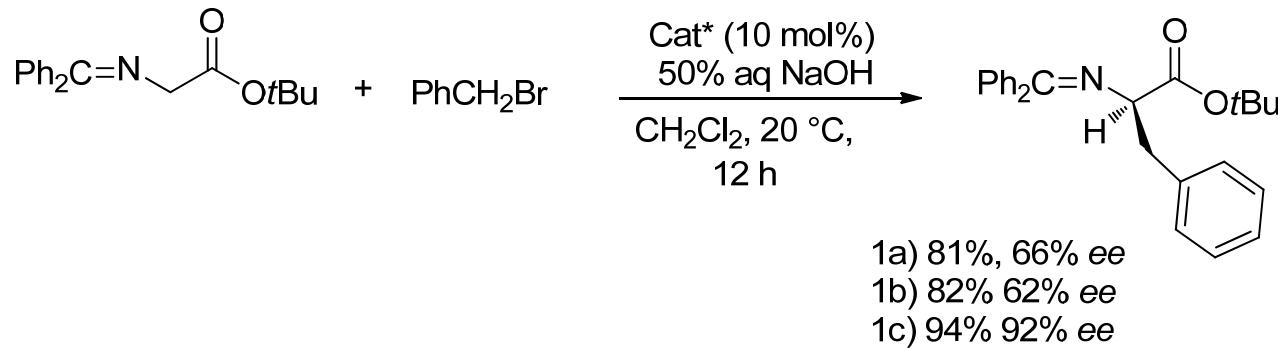
## Real transition state model:

Benchmark reaction for testing catalysts activity



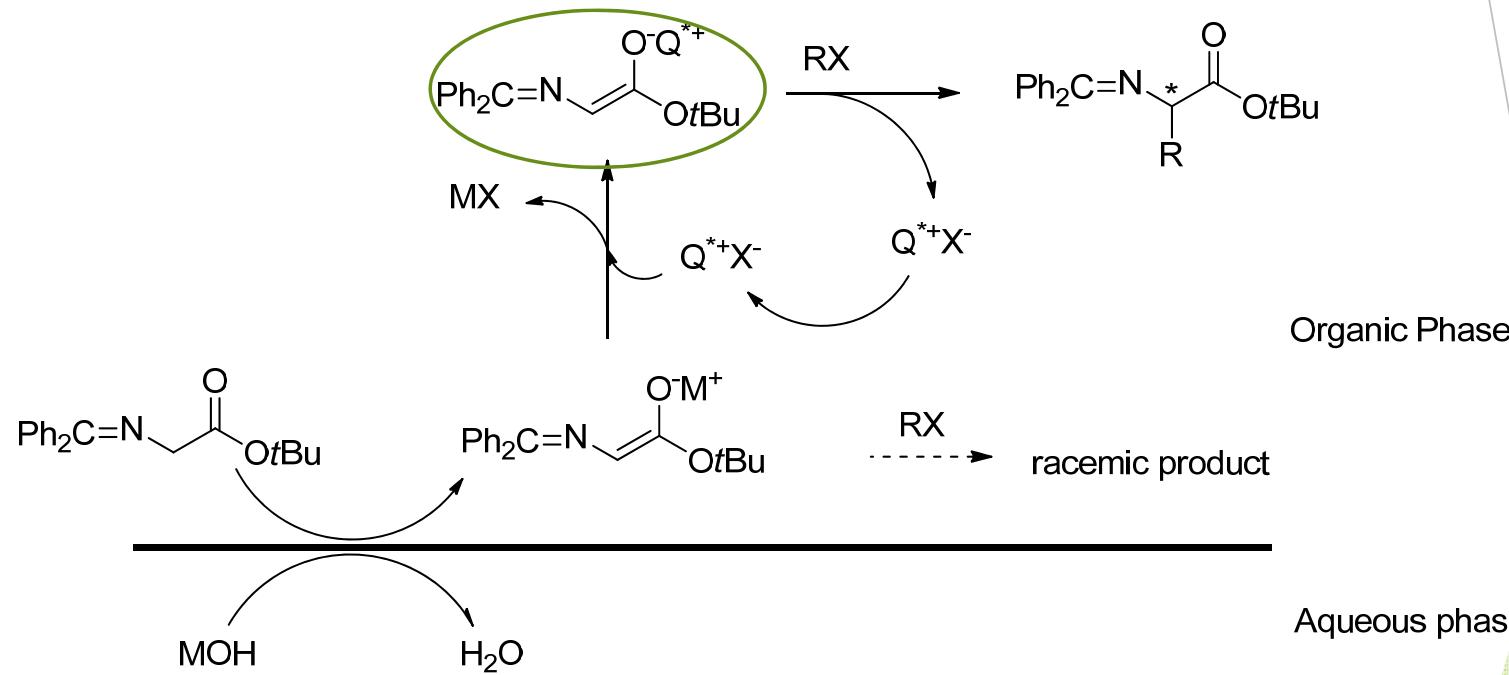
M. J. O'Donnell, S. Wu, J. C. Hoffmann, *Tetrahedron Lett.* 1994, 50, 4507

## Applications



T. Ooi, K. Maruoka, *Angew. Chem. Int. Ed.* 2007, 46, 4222

## Mechanism of reaction



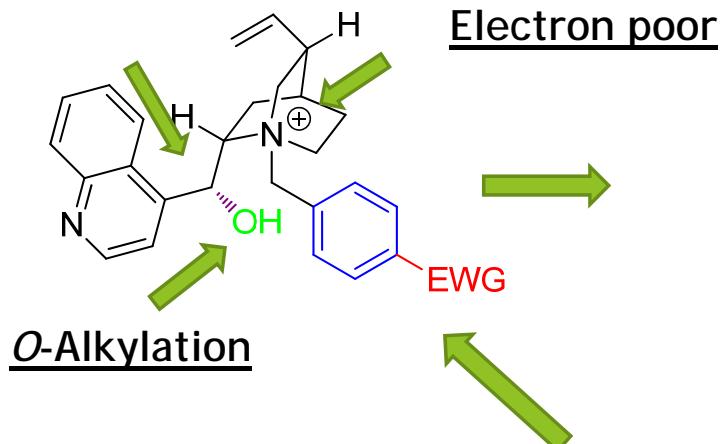
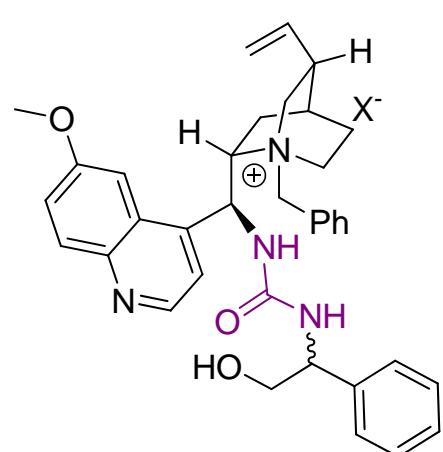
T. Ooi, K. Maruoka, *Angew. Chem. Int. Ed.* 2007, 46, 4222

## Characteristics of an efficient catalyst

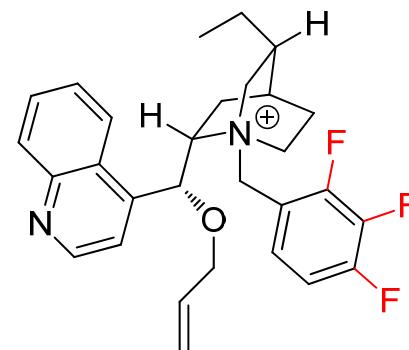
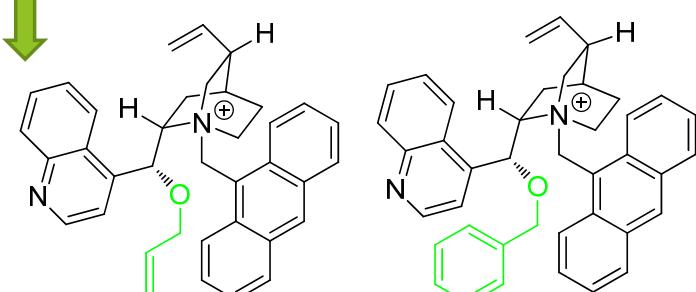
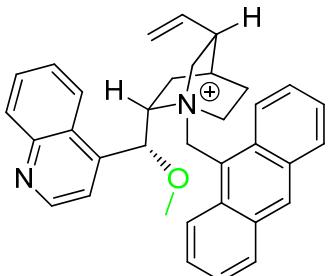
- Formation of a tight ion pair between the catalyst and the substrate
- Shielding one face of the Chinconidinium ion
- Not degrade in the reaction conditions

# Solutions:

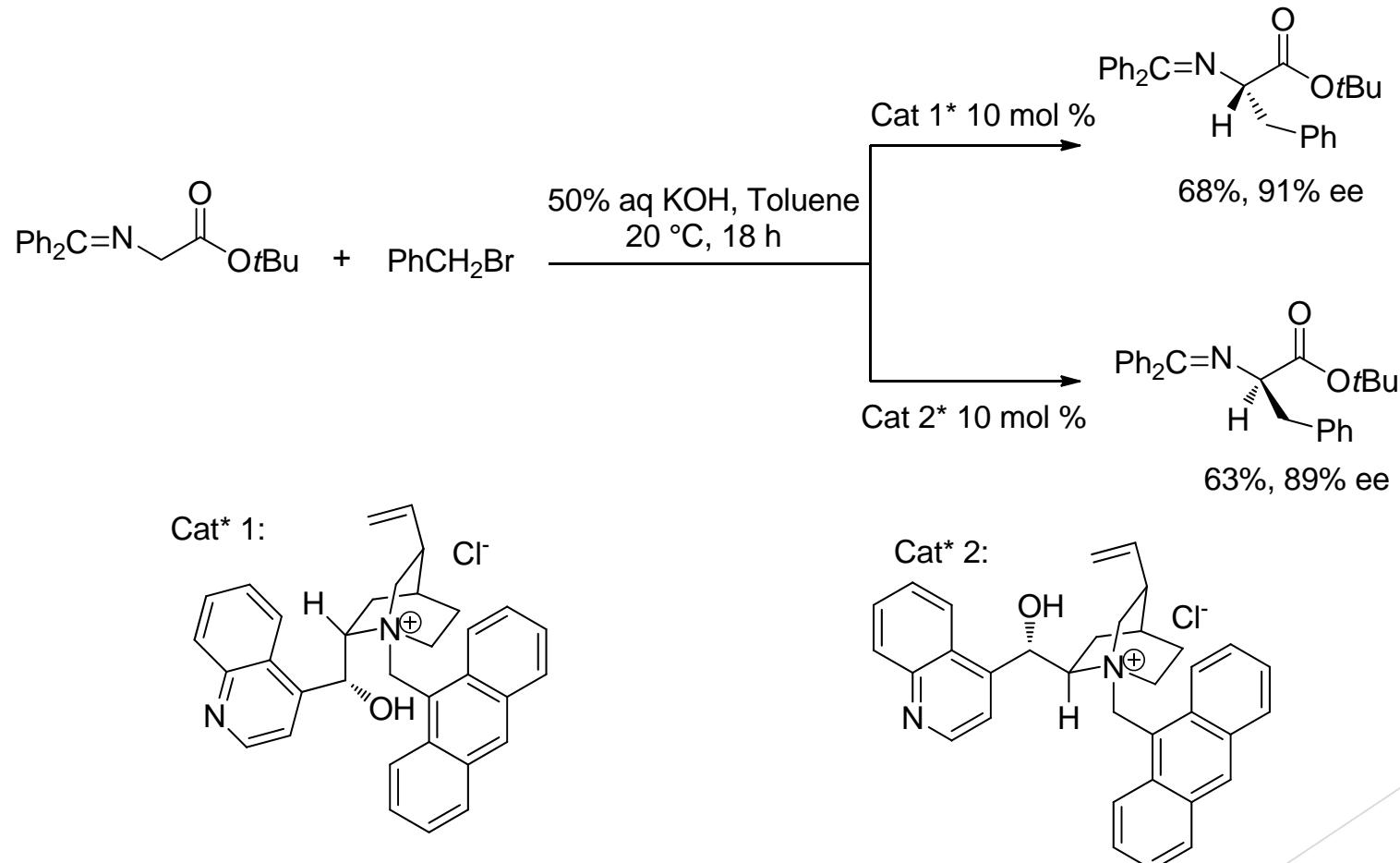
## Insertion of a H-bond donor



The alkylation has effect on the reaction rate, enhancing the solubility of the catalyst in organic phase

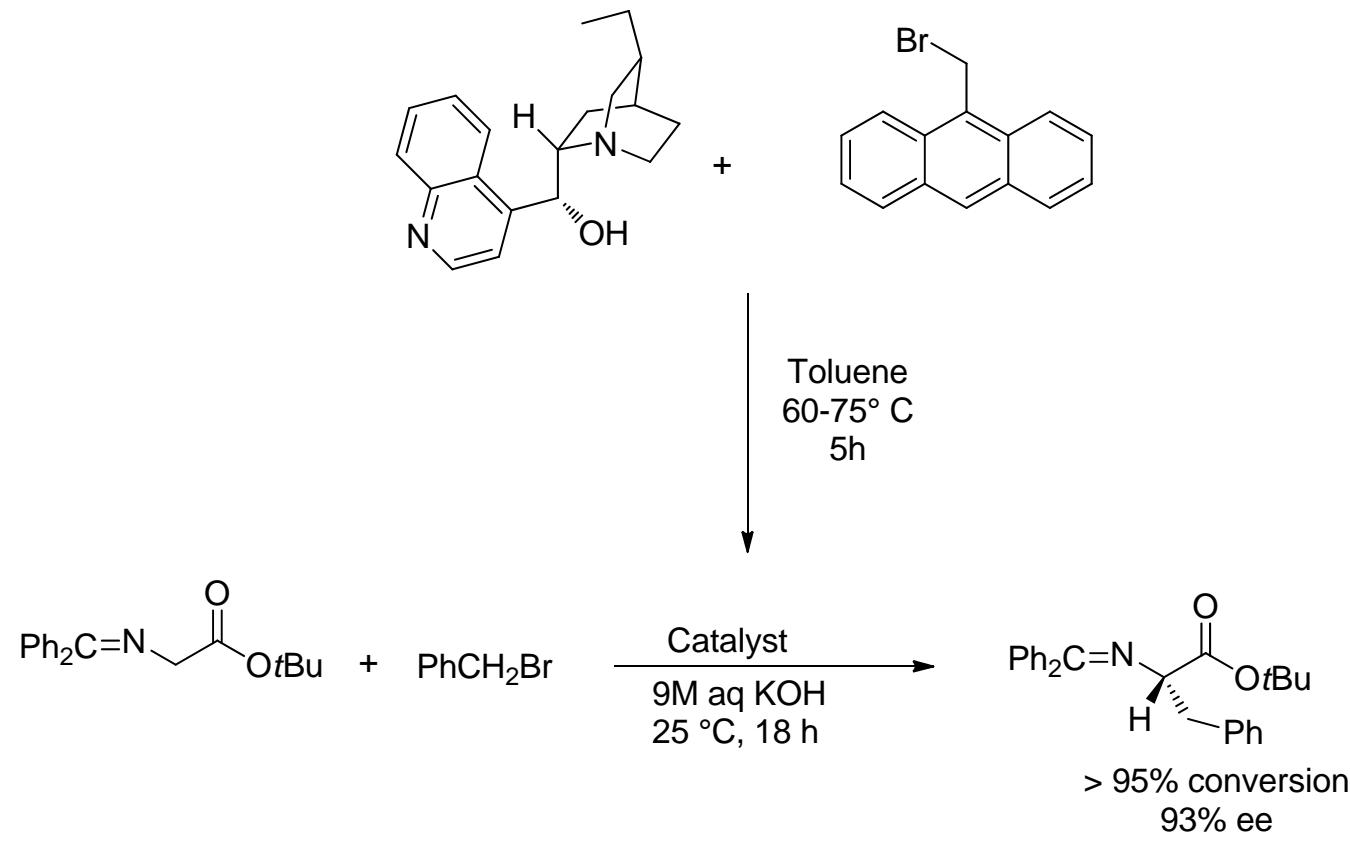


## Applications:



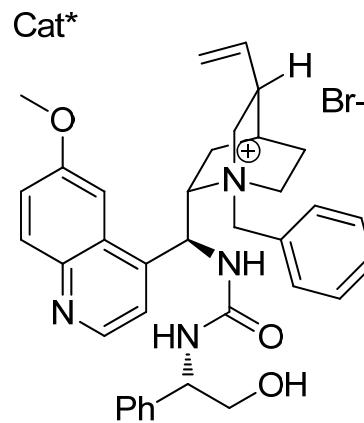
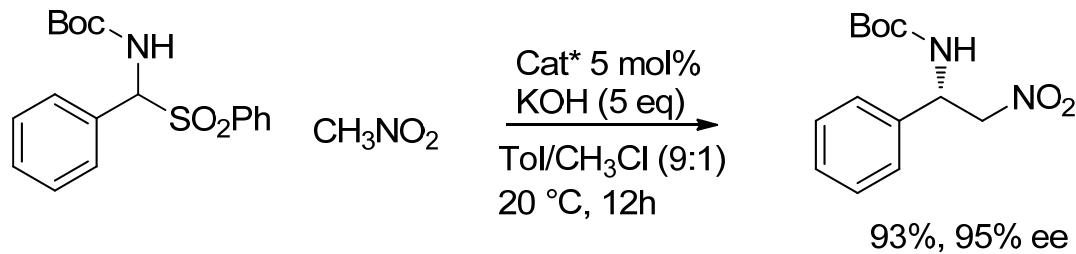
B. Lygo, J. Crosby, T. R. Lowdon, J. A. Peterson, P. G. Wainwright, *Tetrahedron* 2001, 57, 2403

## Applications:



B. Lygo, B. I. Andrews, J. Crosby, J. A. Peterson, *Tetrahedron Lett.* 2002, 43, 8015

## Applications:

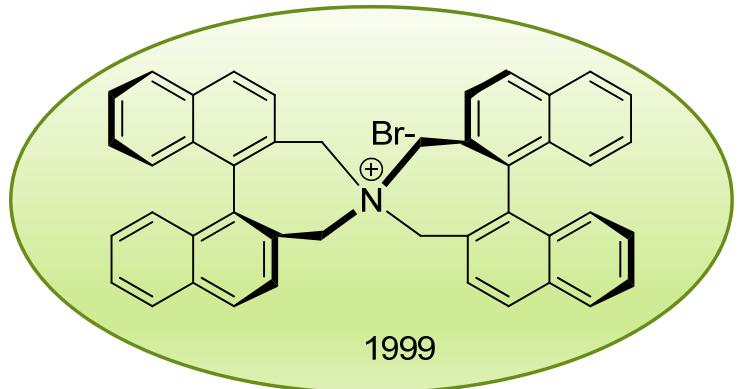


H. Duang et alt, *Org. Lett.* 2014, 16, 6432

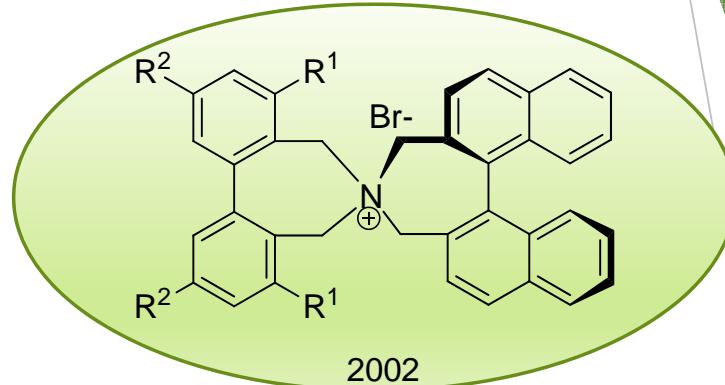
## Other factors influencing efficiency of the reactions:

- Role of the solvent: The more apolar the solvent is, the higher the ee
- Effect of the counter ion: Chloride and Bromide atoms produce similar effects, while Iodide decrease the ee
- Role of the inorganic base: the choice of inorganic base is strongly depending on the reaction conditions.

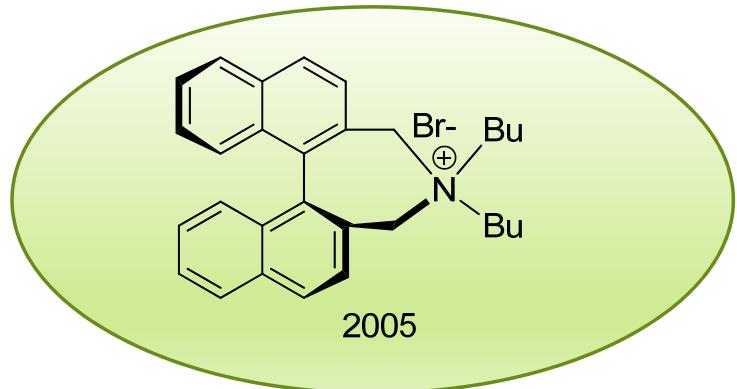
## Maruoka's Catalysts:



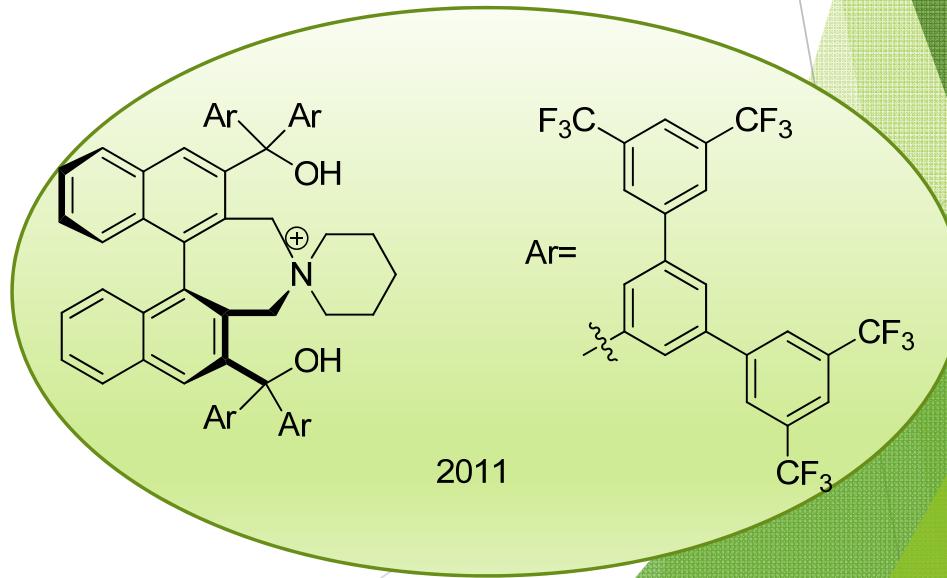
1999



2002



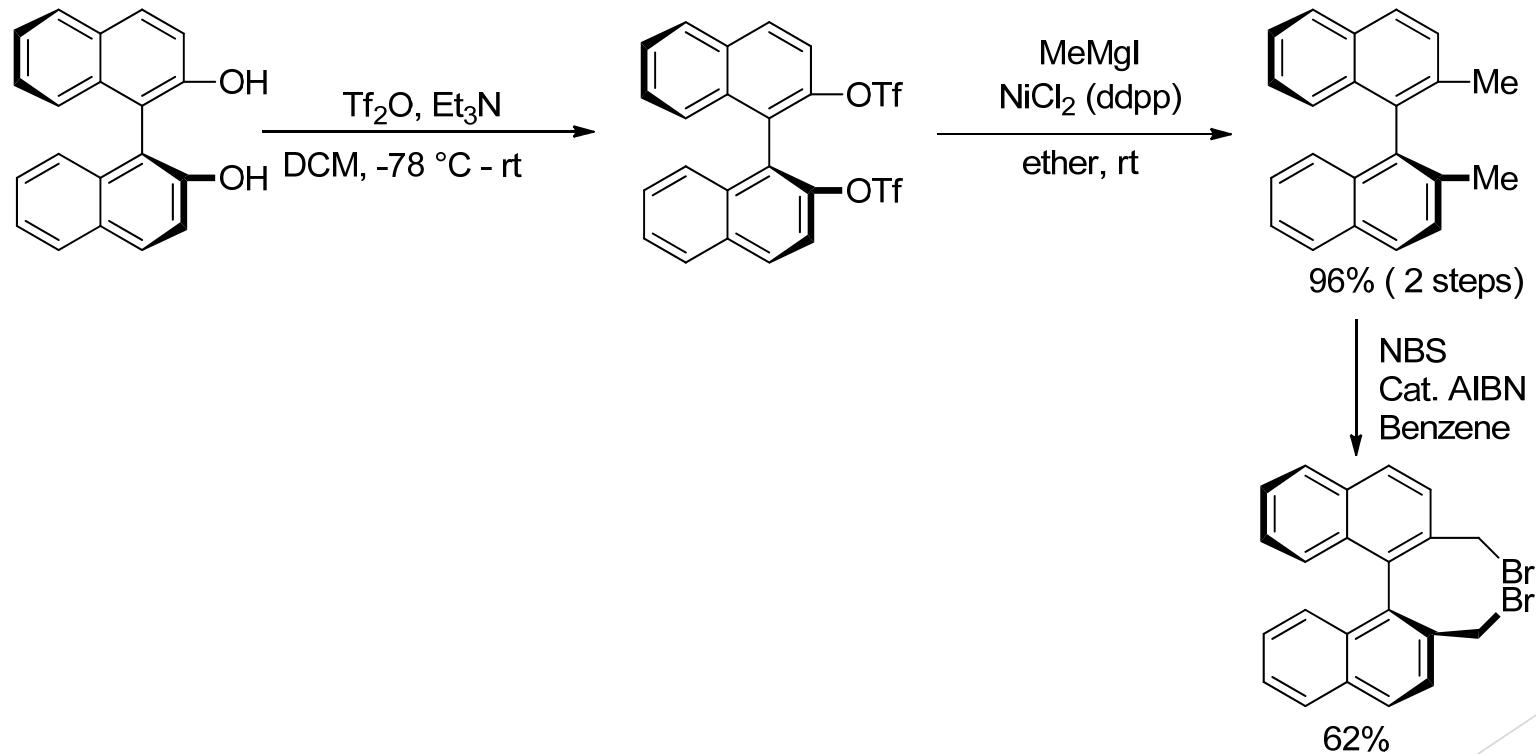
2005



2011

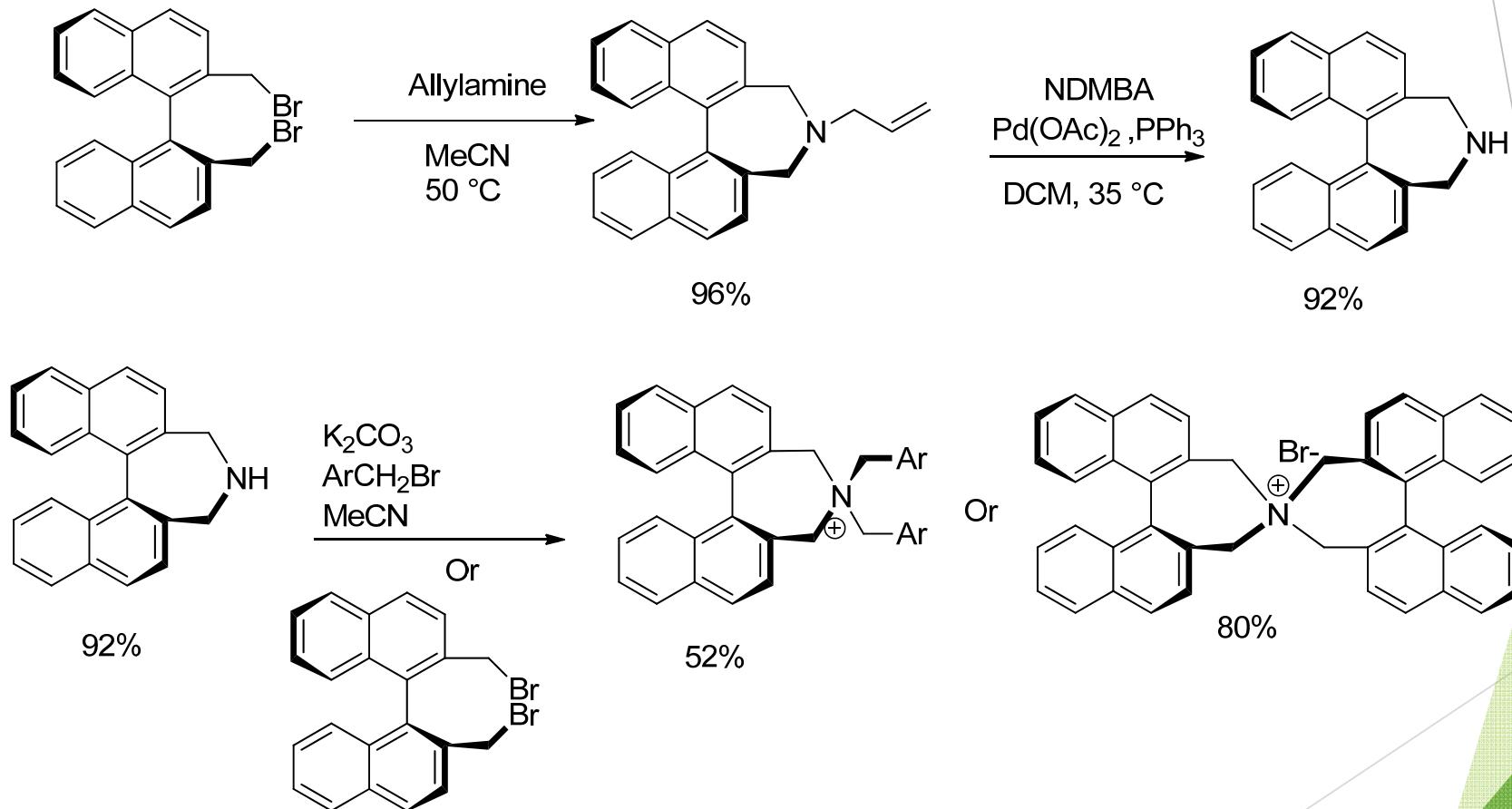
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## Synthesis of Maruokas catalyst:



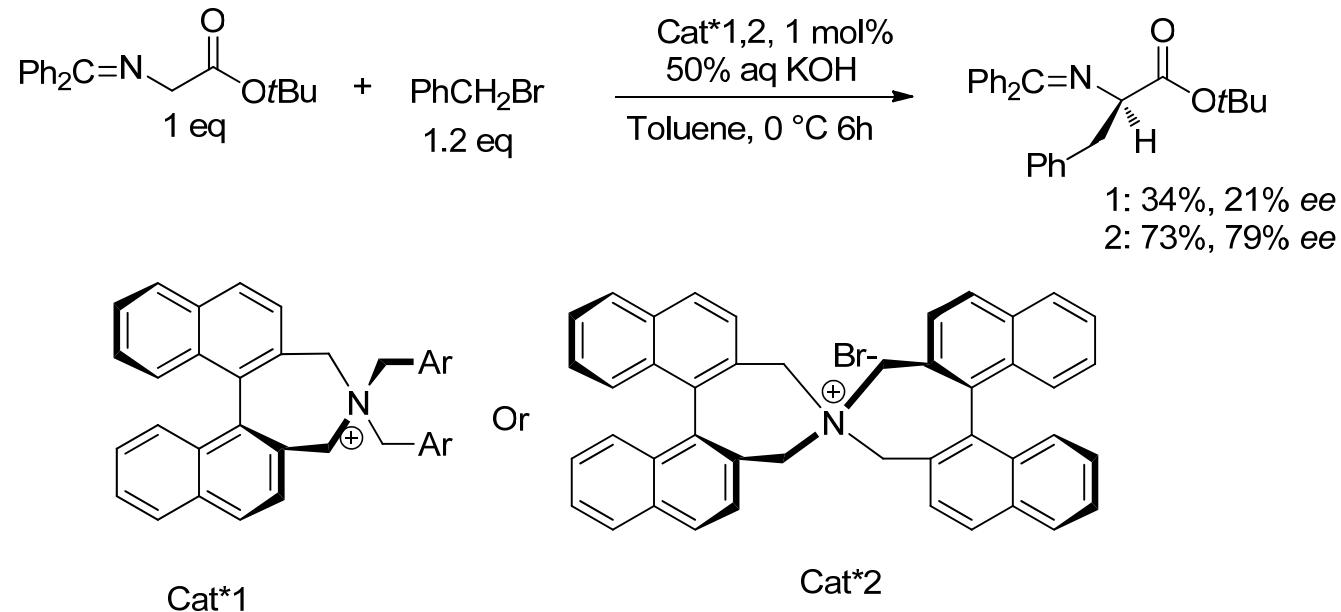
T. Ooi, M. Kameda, K. Maruoka, *J. Am. Chem. Soc.* 2003, 125, 5139

## Synthesis of Maruokas catalyst:



T. Ooi, M. Kameda, K. Maruoka, *J. Am. Chem. Soc.* 2003, 125, 5139

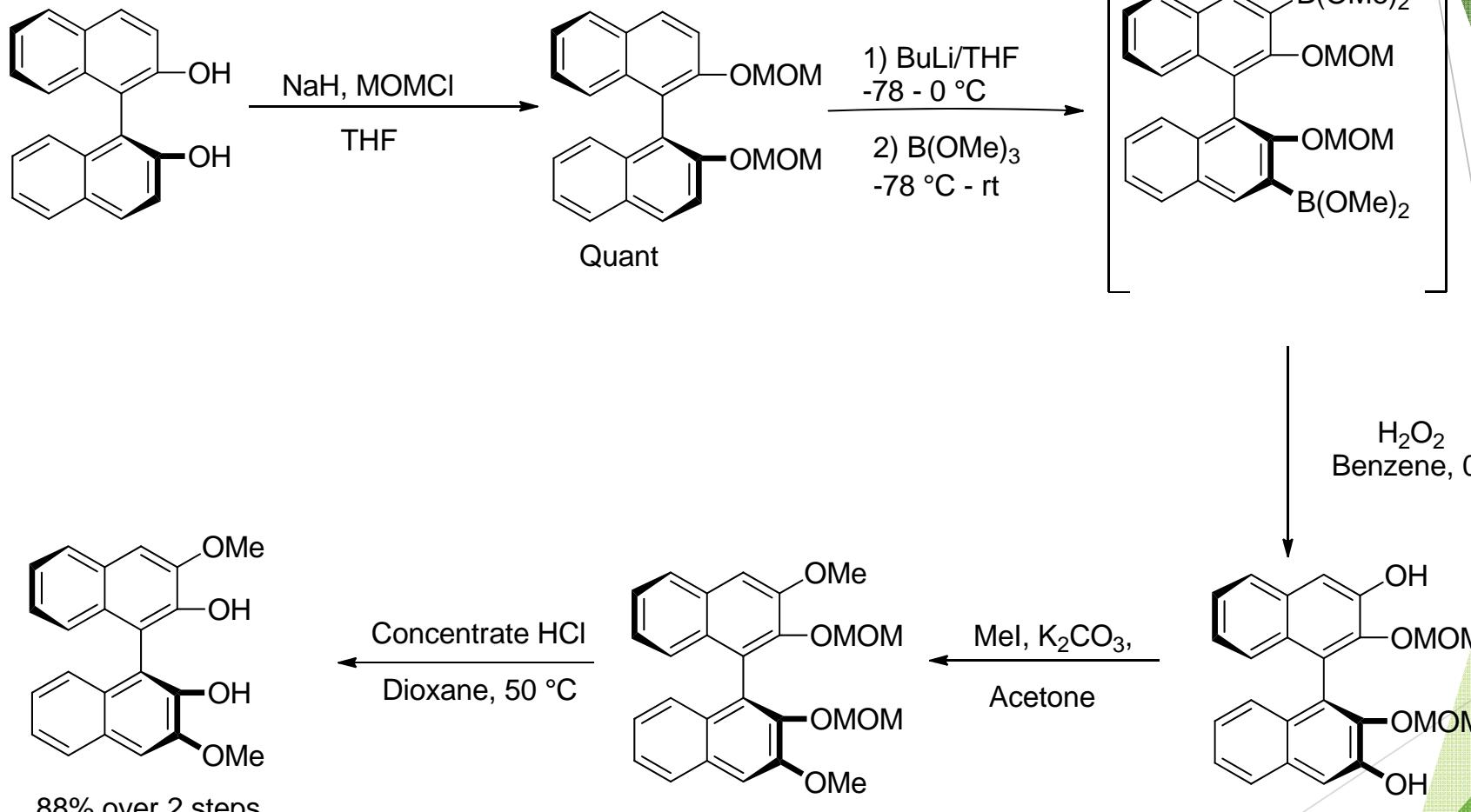
## Applications:



Design of a more constrained catalyst

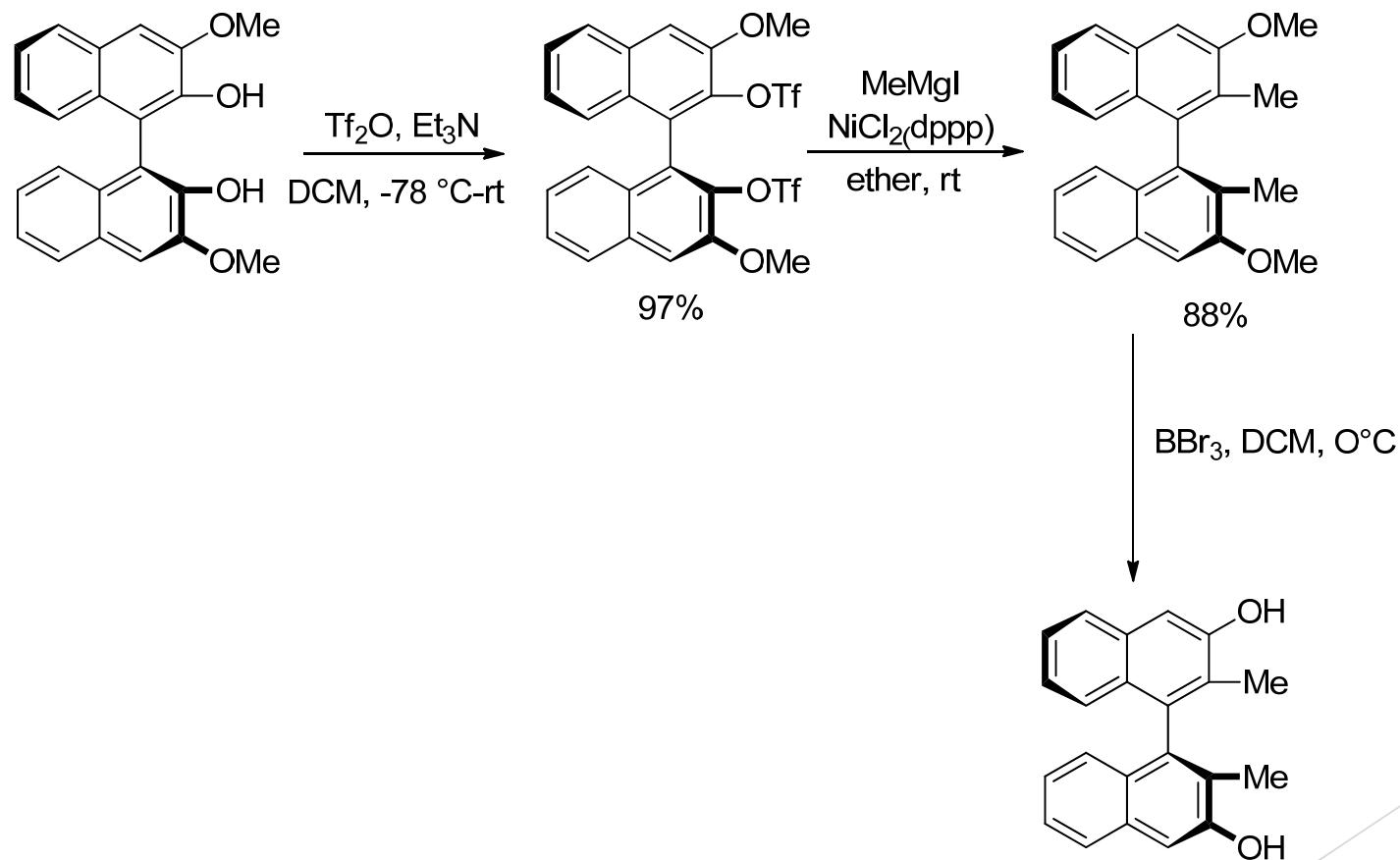
T. Ooi, M. Kameda, K. Maruoka, *J. Am. Chem. Soc.* 2003, 125, 5139

## Synthesis of bulkier catalyst



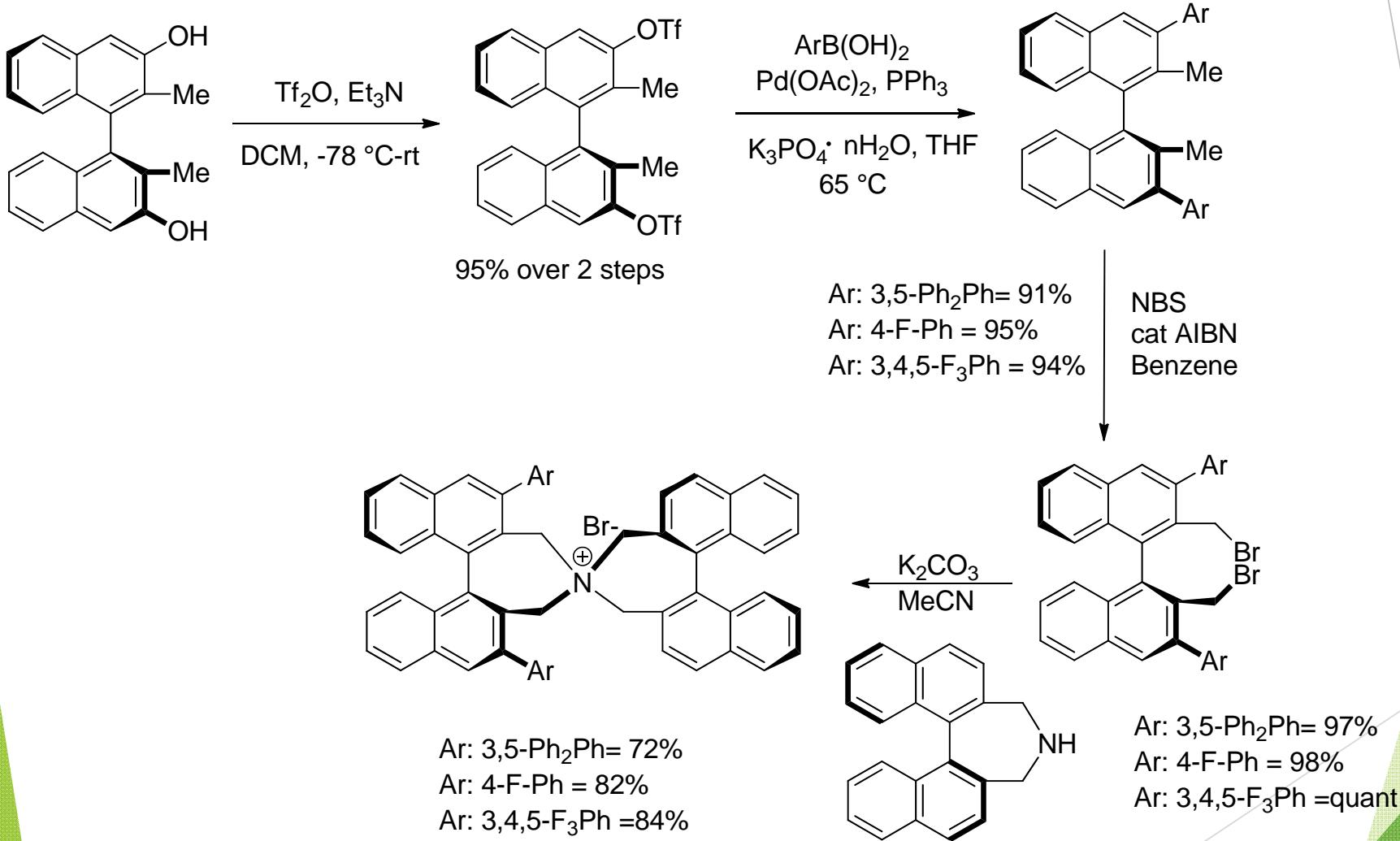
T. Ooi, M. Kameda, K. Maruoka, *J. Am. Chem. Soc.* 2003, 125, 5139

## Synthesis of bulkier catalyst



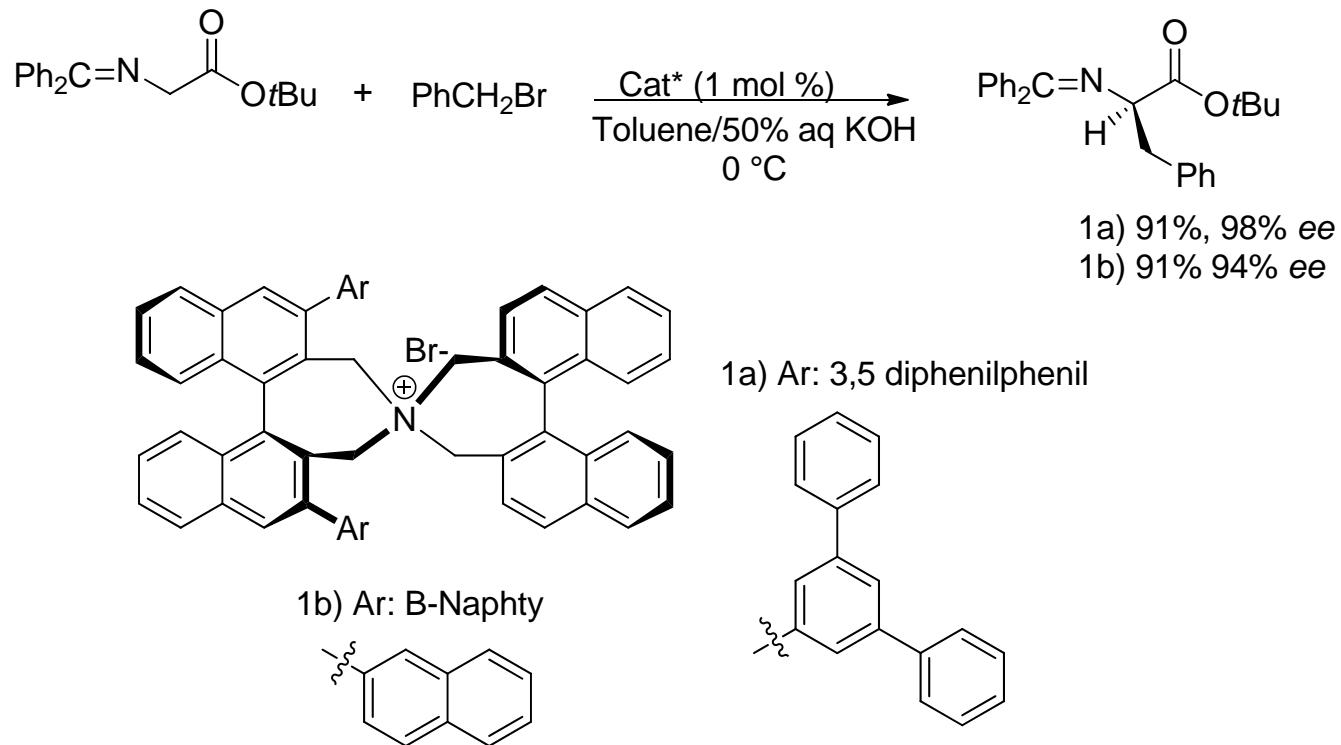
T. Ooi, M. Kameda, K. Maruoka, *J. Am. Chem. Soc.* 2003, 125, 5139

# Synthesis of bulkier catalyst



T. Ooi, M. Kameda, K. Maruoka, *J. Am. Chem. Soc.* 2003, 125, 5139

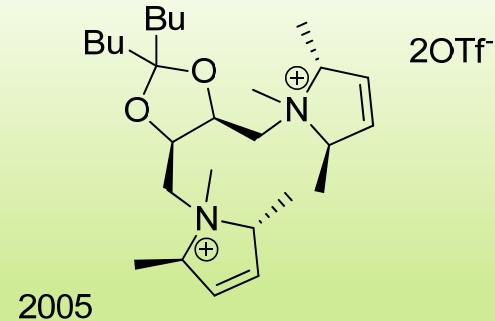
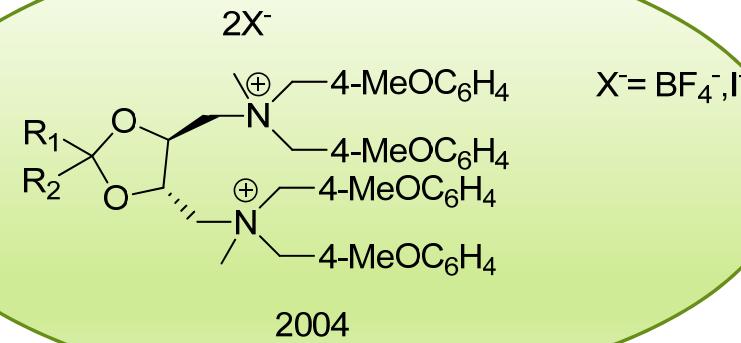
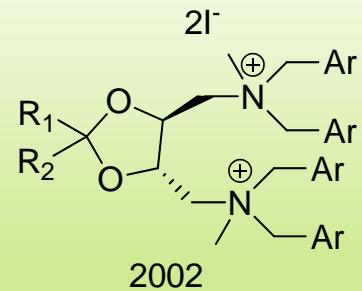
# Applications



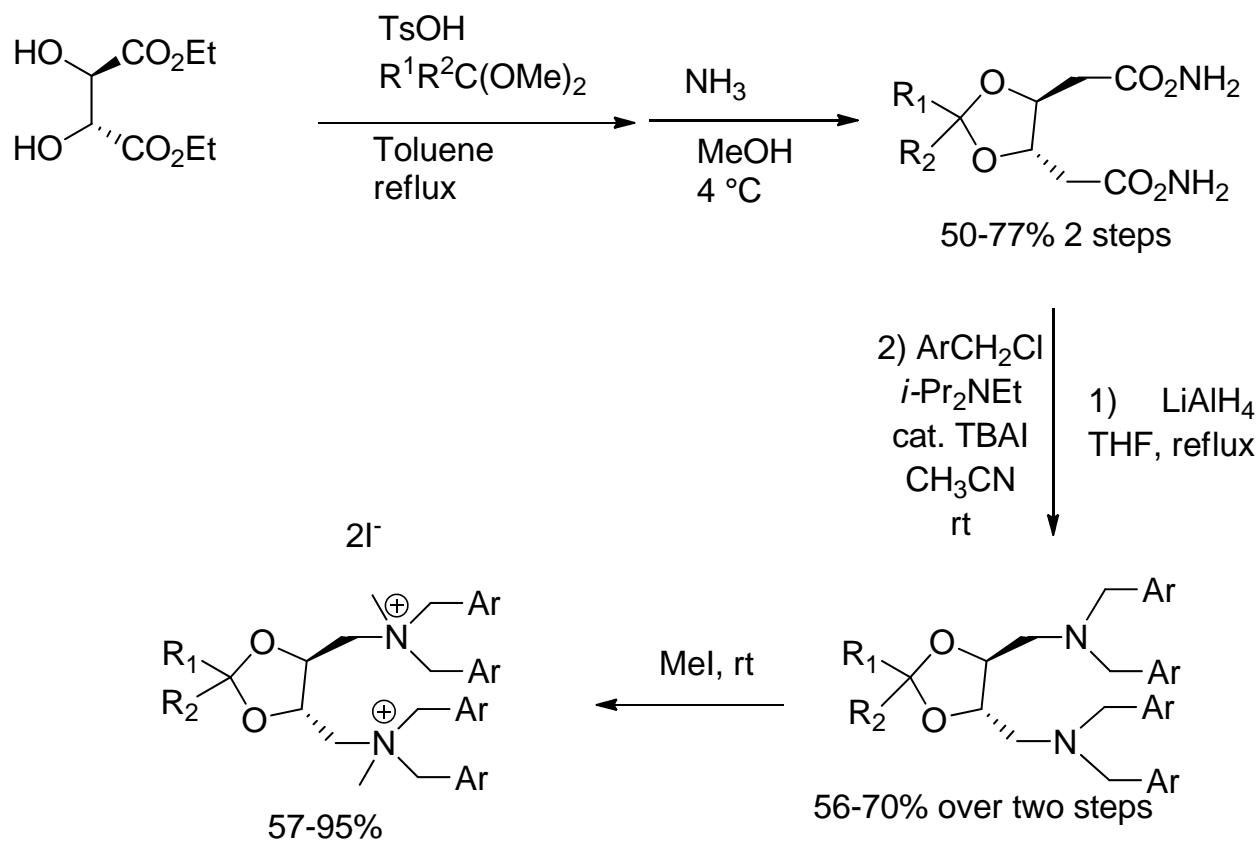
- The more apolar the solvent, the better
- No counterion effect recognised

T. Ooi, M. Kameda, K. Maruoka, *J. Am. Chem. Soc.* 2003, 125, 5139

## Tartrate-derived catalysts

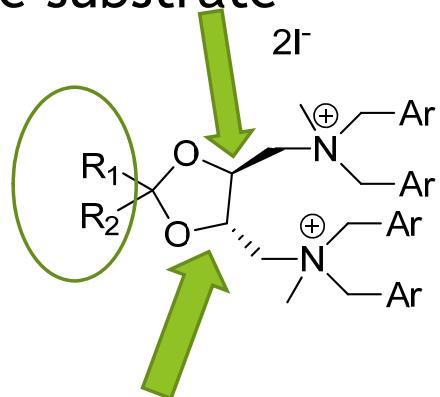


## Syntheses of tartrate-derived catalyst:



## Characteristics of this catalyst:

Importance of the spacer between the two ions to complex the substrate

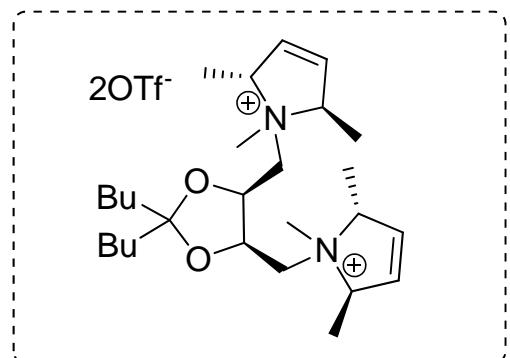
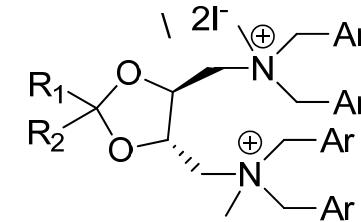
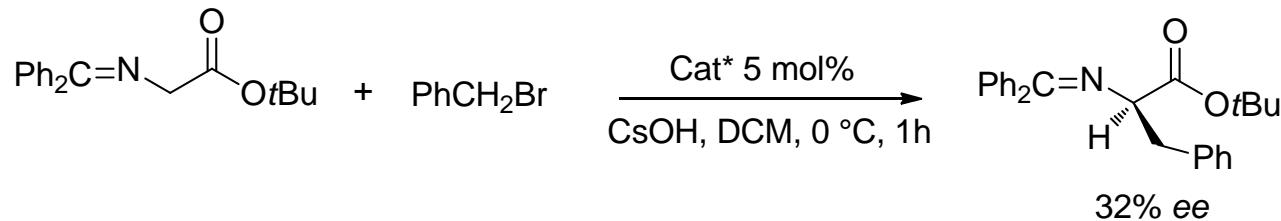
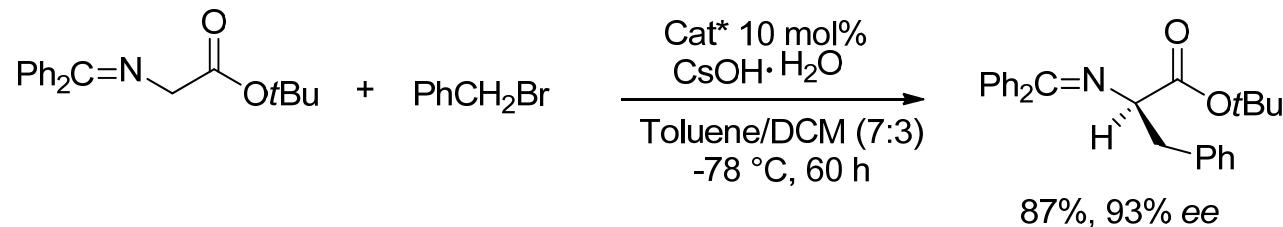


Easy to variate the substituents

Easy access both the enantiomers

Strong counter ion effect: the harder the counterion ( $\text{BF}_4^-$  better than  $\text{I}^-$ ) the higher the solubility in organic solvents, the more efficient the reaction

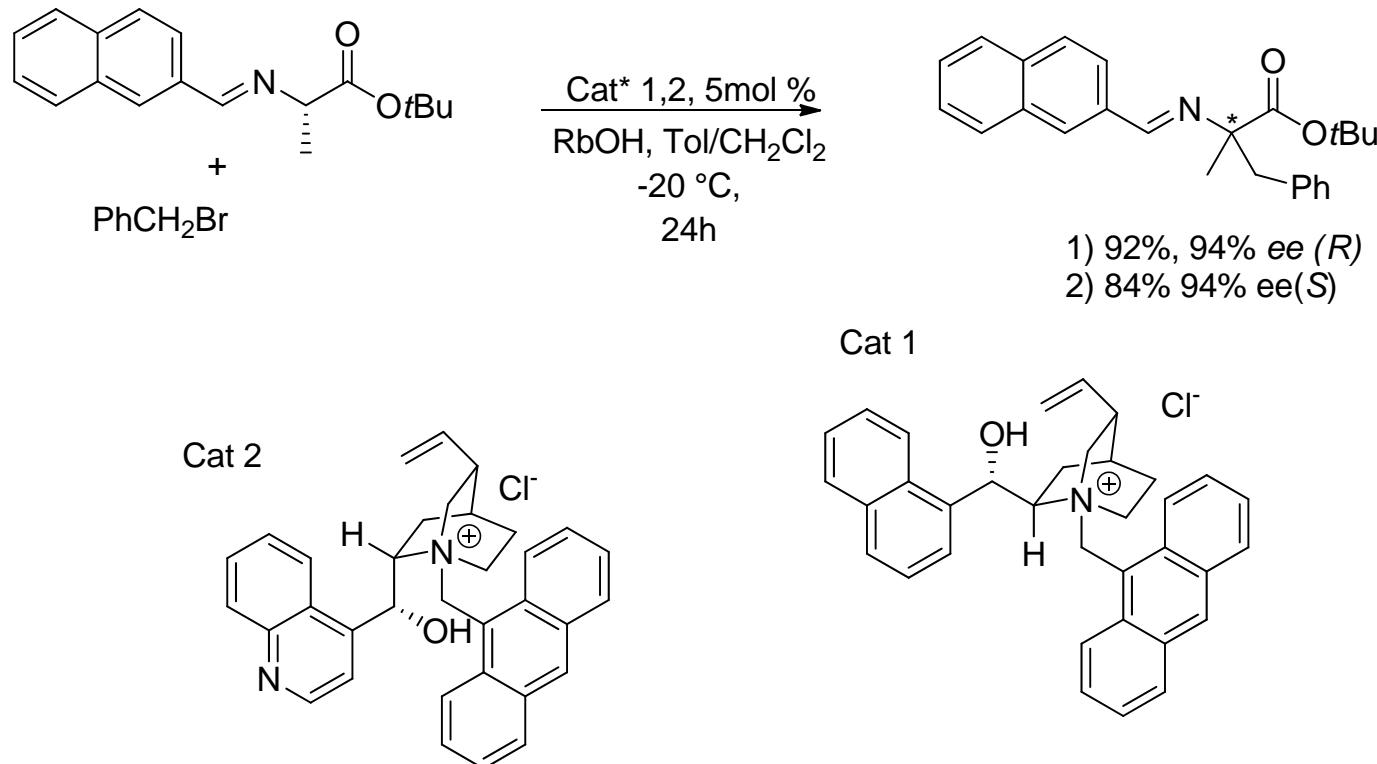
## Applications:



T. Ooi, M. Kameda, K. Maruoka, *J. Am. Chem. Soc.* 2003, 125, 5139

# Other Applications of PT Catalysts

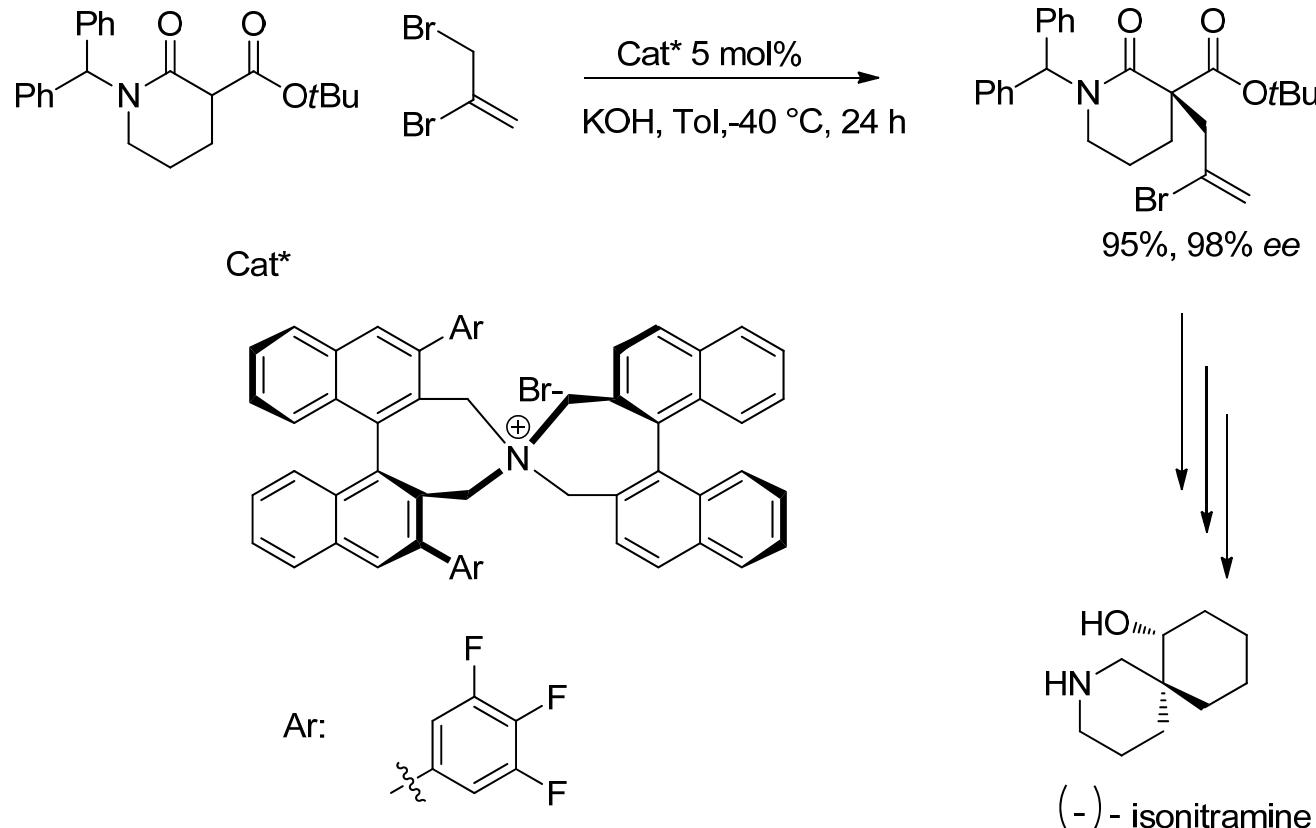
## Synthesis of $\alpha,\alpha$ -Dialkyl $\alpha$ - Amino Acids



32

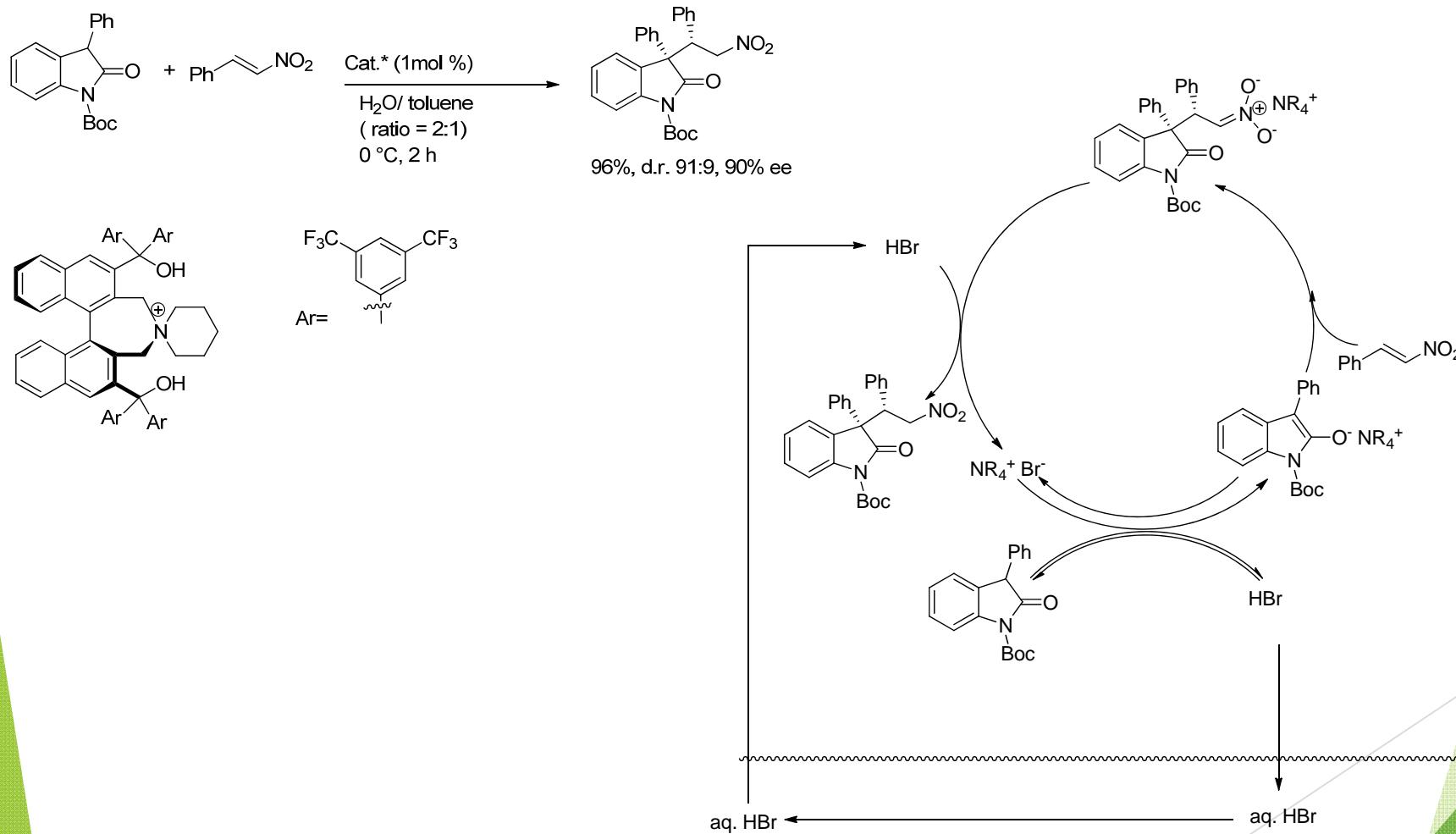
S.-s Jew, B.-S. Jeong, J.-H Lee, M.-S. Yoo, Y.-J.Lee, B.-s Park, M. G. Kim, H. g. Park, *J. Org. Chem.* 2003, 68, 4514

## Other Applications of PT Catalysts



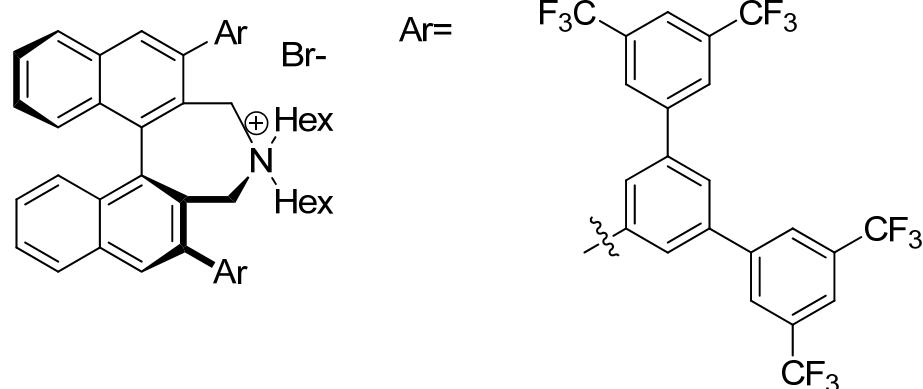
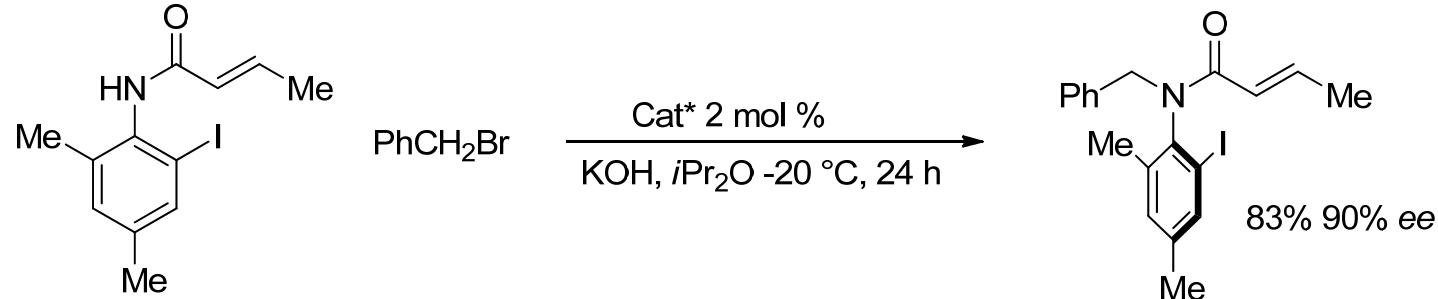
T. Ooi, T. Miki, K. Fukumoto, K. Maruoka, *Adv. Synth. Catal.* 2006, 348, 1539

# Base free conjugate addition



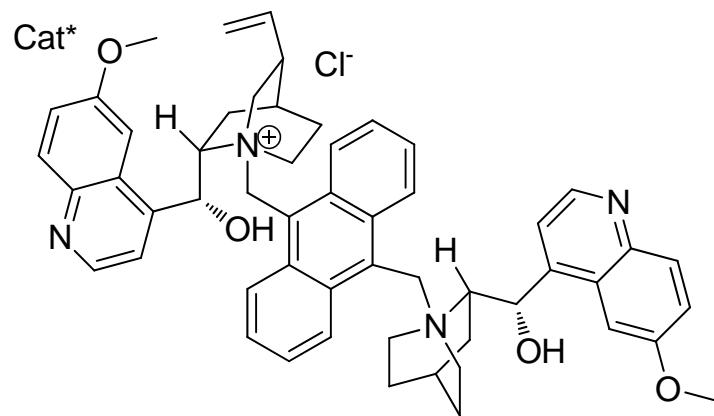
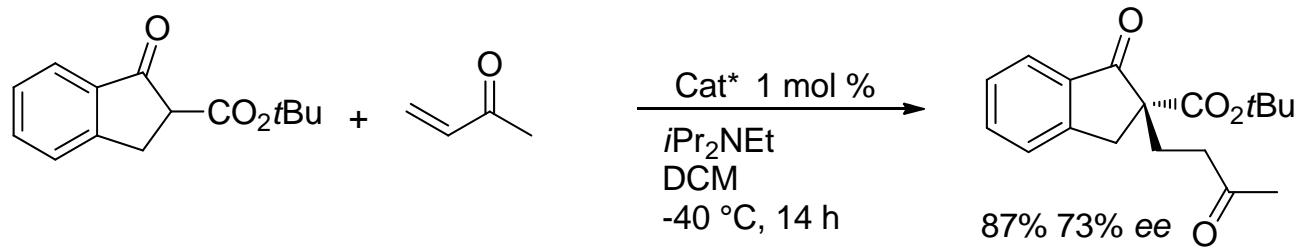
S. Shirakawa, L. Wang, R. He, S. Arimitsu, K. Maruoka *Chem. Asian. J.* 2014, 9, 1586

## C-N Bond formation



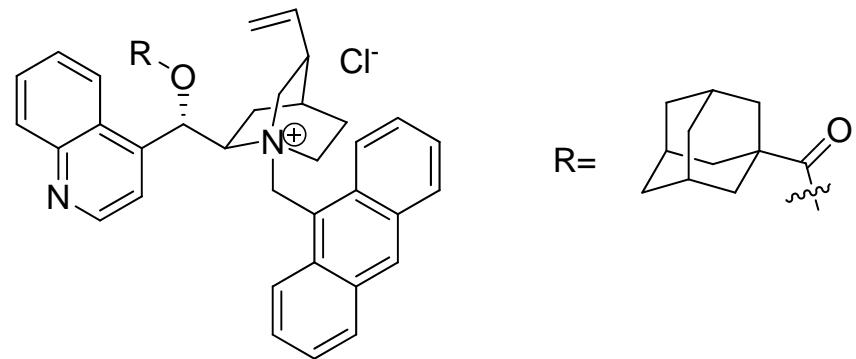
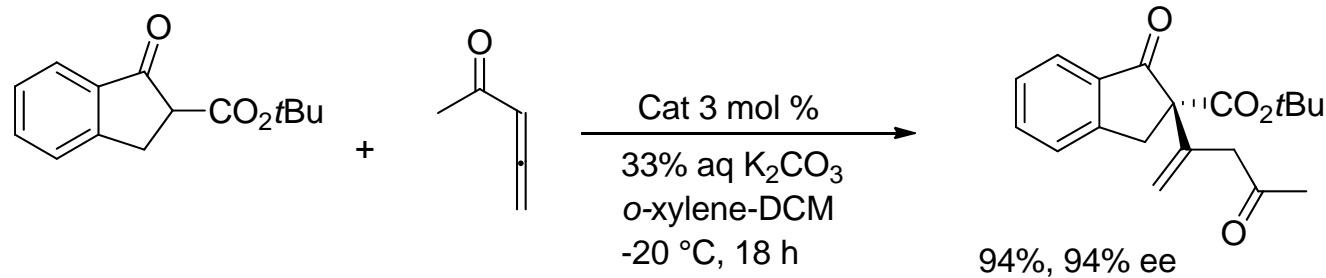
S. Shirakawa, K. Liu, k. Maruoka, *J. Am. Chem. Soc.*, 2012, 134, 916

## Conjugate addition



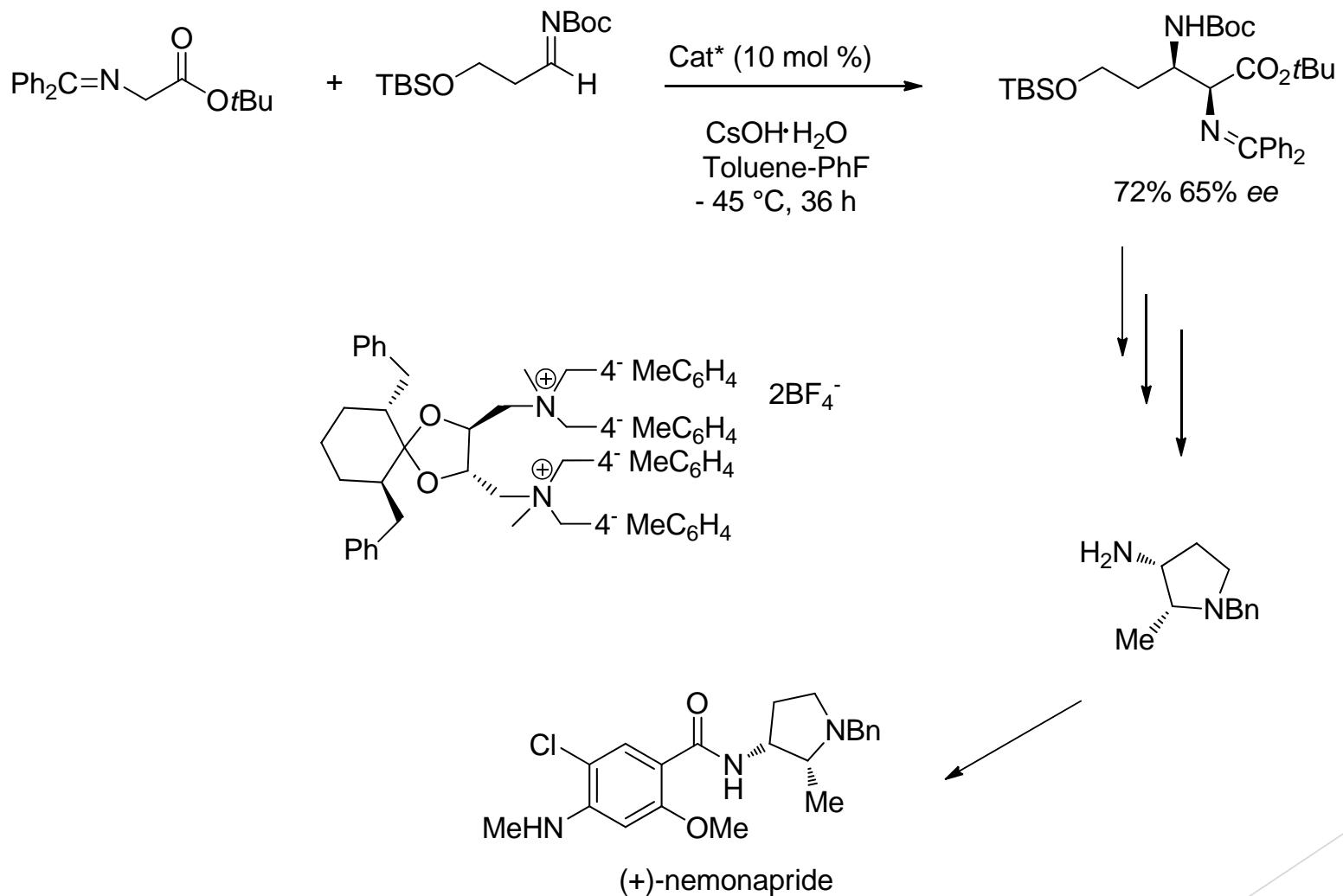
S. Tarì, R. Chichilla, C. Nàjera, *Tetrahedron Asymm.* 2009, 20, 2651

## Conjugate addition:



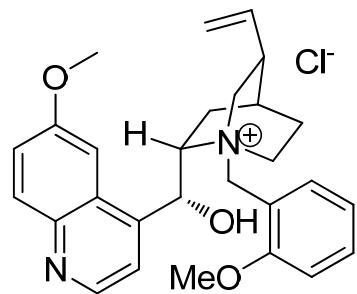
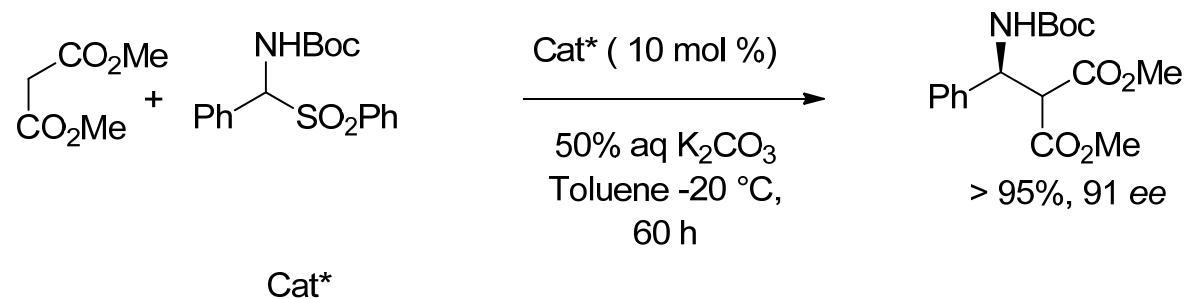
J. Aleman, E. Reyes, B. Richter, J. Overgaard, K. A. Jorgensen *Chemm. Commun.* 2007, 3291

# Mannich Reaction



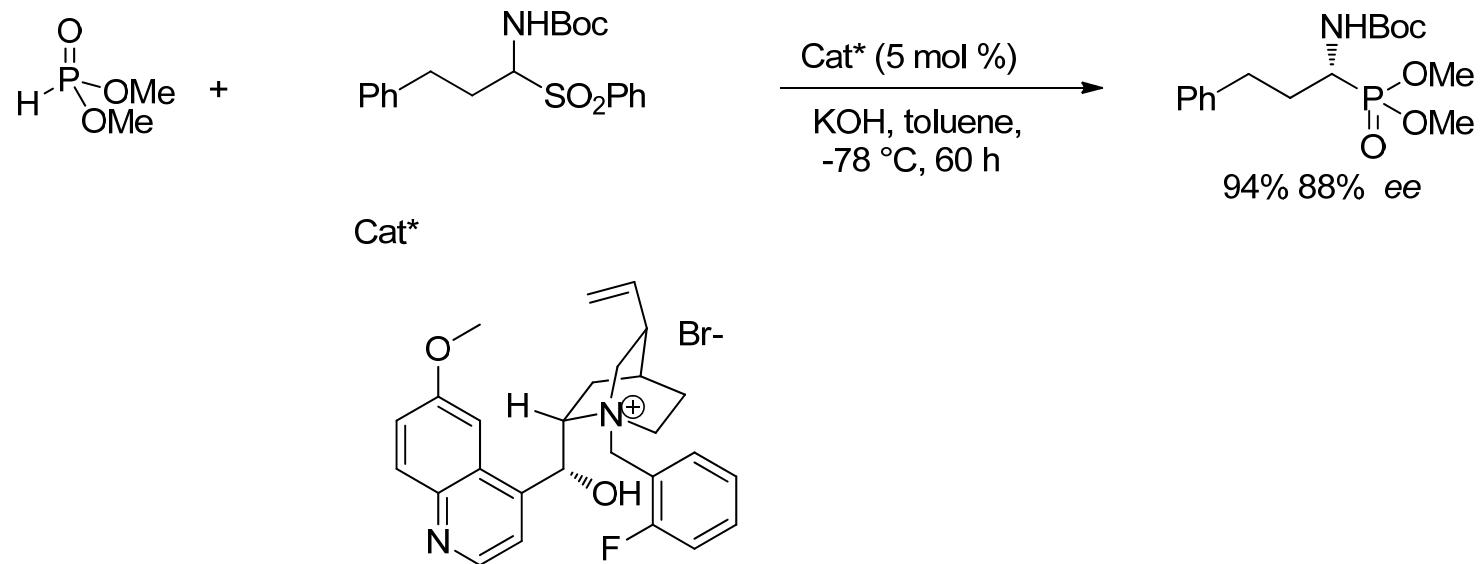
T. Shibuguchi, H. Mihara, A. Kuramochi, T. Oshima, M. Shibasaki, *Chem. Asian J.* 2007, 2, 794

# Mannich Reactions



F. Fini, L. Bernardi, R. P. Herrera, D. Pettersen, A. Ricci, V. Sgarzani, *Adv. Synth. Catal.* 2006, 348, 2043

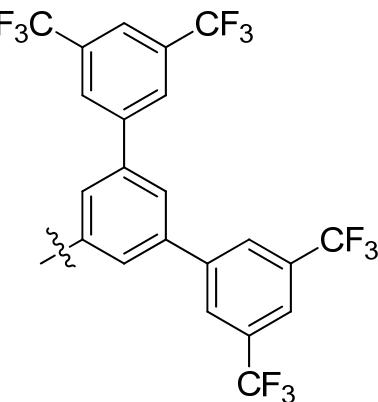
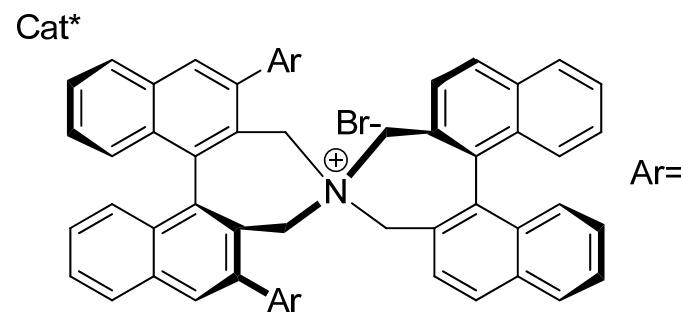
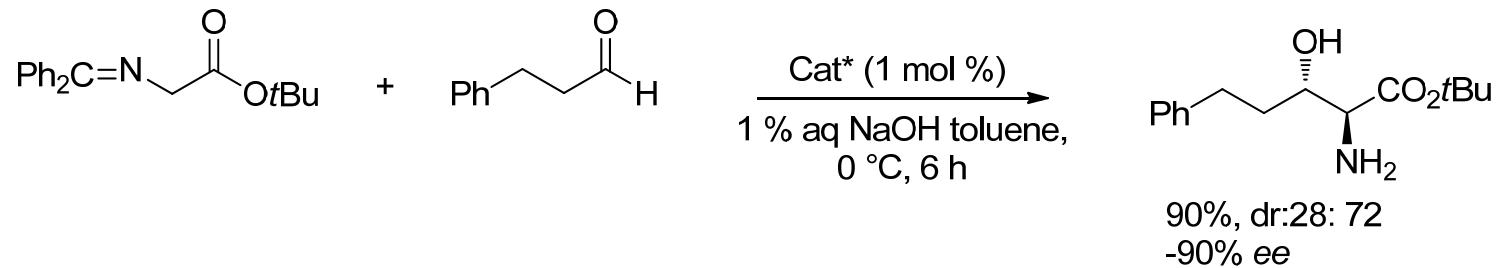
## C-P Bond Formation



F. Fini, G. Micheletti, L. Bernardi, D. Pettersen, M. Fochi, A. Ricci *Chemm. Comm.* 2008, 4345

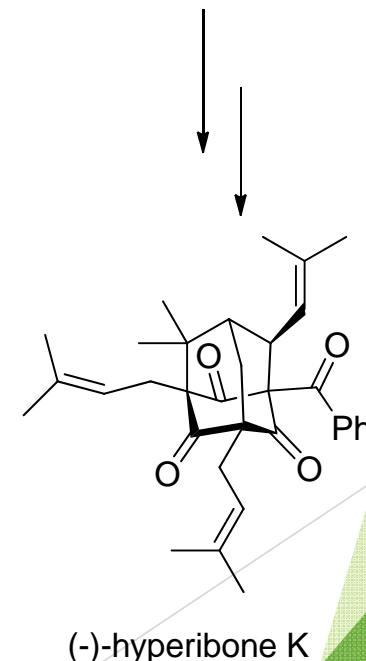
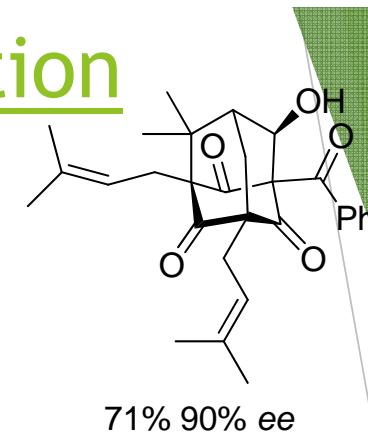
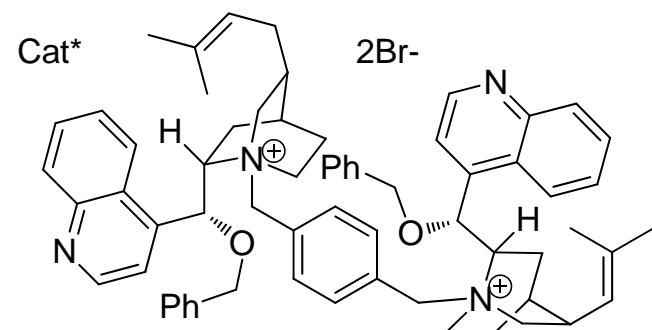
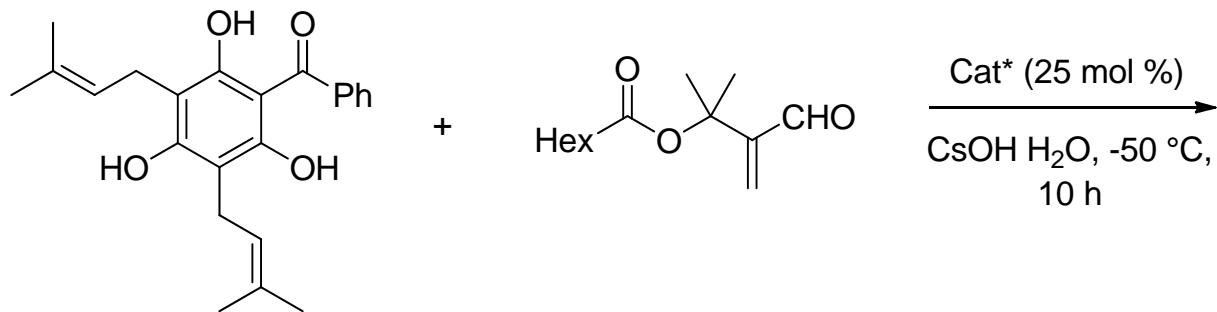
40

## Aldol Reaction



T. Ooi, M. Taniguchi, M. Kamenada, K. Maruoka, *Angew. Chem. Int. Ed.* 2002, 41, 4542

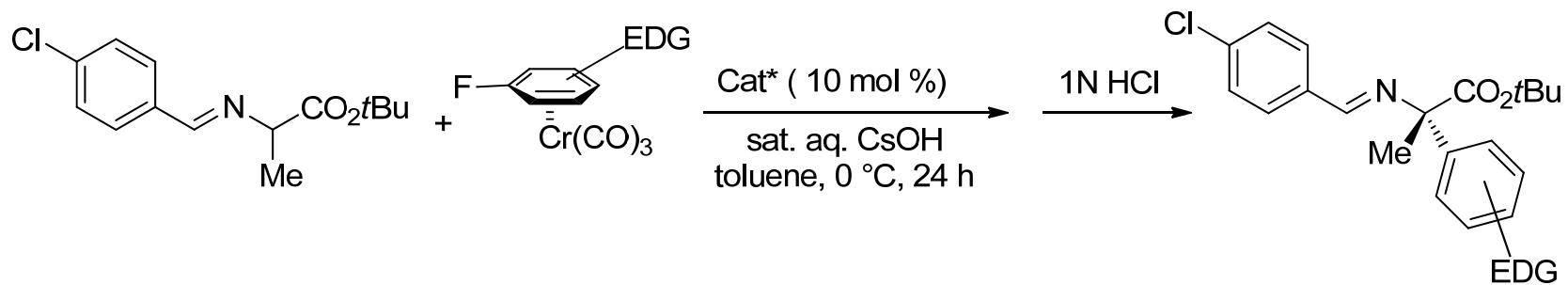
## Alkylative dearomatization/annulation



42

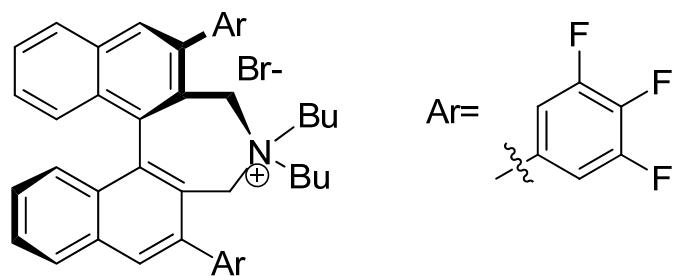
J. Qui, A. B. Beeler, Q. Zhang, J. A. Porco, Jr. *J. Am. Chem. Soc.* 2010, 132, 13642

# Aromatic Nucleophilic Substitution



EDG: H, 70%, 97 %ee  
*p*-Me 71% 98%ee

$\text{Cat}^*$



S. Shirakawa, K. Yamamoto, K. Maruoka *Angew. Chem. Int. Ed.*, 2015, 54, 838

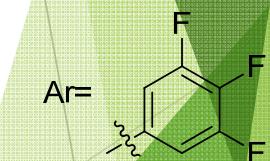
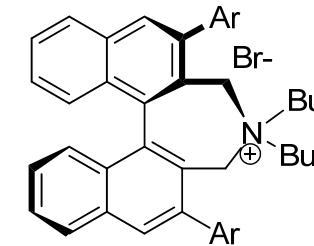
# Conclusion and Outlook

## Qualities of the method

- Versatile methodology which can be applied with mild conditions
- Easy to scale up → Appealing for industrial applications

## Drawbacks of the methodology

- Some of the designed catalyst are extremely expensive, or difficult to synthesize



250 € 50 mg

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Design of new catalysts applicable to a wide range of reactions

Thanks for your attention!